

OECD's work on AI

From Principles to practice

NIC.br webinar “Public Statistics on AI”
Keynote

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Digital Economy Policy Division
September 2020

- OECD AI Principles
- Implementation efforts
 - OECD AI Policy Observatory (OECD.AI)
 - OECD Network of Experts on AI (ONE AI)
 - The AI Wonk
- COVID-19 resources

- G7 ICT Ministerial meeting in Japan, April 2016
- AI Foresight Forum, 2016
- Conference '*AI: Intelligent Machines, Smart Policies.*' 2017
- 2018 mission: scope principles to foster trust in and adoption of AI



Facilitate innovation & trust	<i>Enable trust in and adoption of AI</i>
Specific / key to AI	<i>Reflect the specific characteristics of, or key issue related to, AI</i>
Implementable	<i>In policies and practices</i>
Flexible	<i>Stand the test of time</i>
Foster co-operation	<i>Multi-stakeholder, multi-disciplinary, international</i>

September 2018 –February 2019

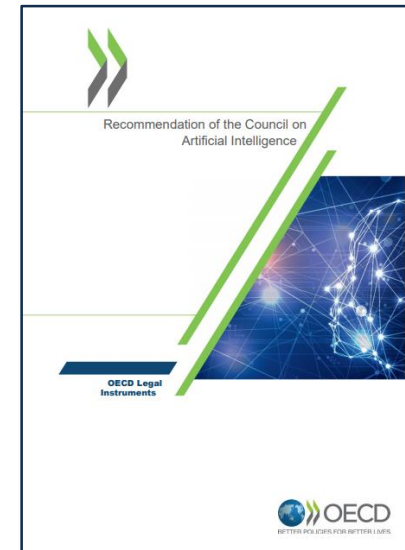
Mission: to scope principles to foster trust in and adoption of AI

Composition: multi-stakeholder and multi-disciplinary: 50+ experts

- Governments, academics, IOs, businesses, technical community, labour unions, civil society...
- 4 meetings in 5 months



- Goal: foster policy ecosystem for trustworthy AI that benefits people and planet.
- Inter-governmental standard. Adopted May 2019 by 37 OECD + 7 partner countries. Same as G20 AI Principles approved June 2019.
- Non-binding yet strong political commitment to implement & OECD monitoring.



10 Principles, covering two areas:

Principles for responsible stewardship of trustworthy AI

- 1.1. Inclusive growth, sustainable development and well-being
- 1.2. Human-centred values and fairness
- 1.3. Transparency and explainability
- 1.4. Robustness, security and safety
- 1.5. Accountability

National policies & international cooperation for trustworthy AI

- 2.1. Investing in AI research and development
- 2.2. Fostering a digital ecosystem for AI
- 2.3. Providing an enabling policy environment for AI
- 2.4. Building human capacity and preparing for labour transition
- 2.5. International cooperation



1 *Inclusive growth, sustainable development and well-being*

AI should benefit people and the planet by driving **inclusive growth, sustainable development and well-being.**



2

Human-centred values and fairness

AI systems should be designed in a way that respects the rule of law, human rights, democratic **values and diversity, and include appropriate safeguards to ensure a **fair** and just society.**

3

Transparency and explainability

There must be **transparency** and responsible disclosure around AI systems to ensure that people **understand** AI-based outcomes and can challenge them.



4

Robustness, security and safety

AI systems must function in a **robust, secure and safe way throughout their lifecycle and potential risks should be continually assessed and managed.**

5 *Accountability*

AI actors should be **accountable** for the proper functioning of AI systems in line with the above principles.

The OECD recommends that governments...

- Facilitate public and private investment in **research & development** to spur innovation in trustworthy AI.
- Foster accessible **AI ecosystems** with digital infrastructure and technologies and mechanisms to share data and knowledge.
- Ensure a **policy environment** that will open the way to deployment of trustworthy AI systems.
- Empower people with the **skills** for AI and support workers for a fair transition.
- **Co-operate across borders** and sectors to progress on responsible stewardship of trustworthy AI.



3 reasons you should pay attention to the OECD AI principles

BY JESSICA CUSSINS NEWMAN, OPINION CONTRIBUTOR — 05/28/19 09:30 AM EDT
THE VIEWS EXPRESSED BY CONTRIBUTORS ARE THEIR OWN AND NOT THE VIEW OF THE HILL

2,333 SHARES



Just In...

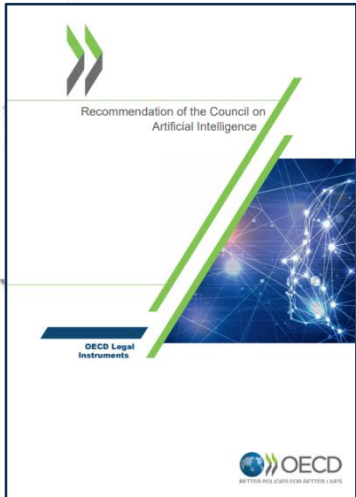
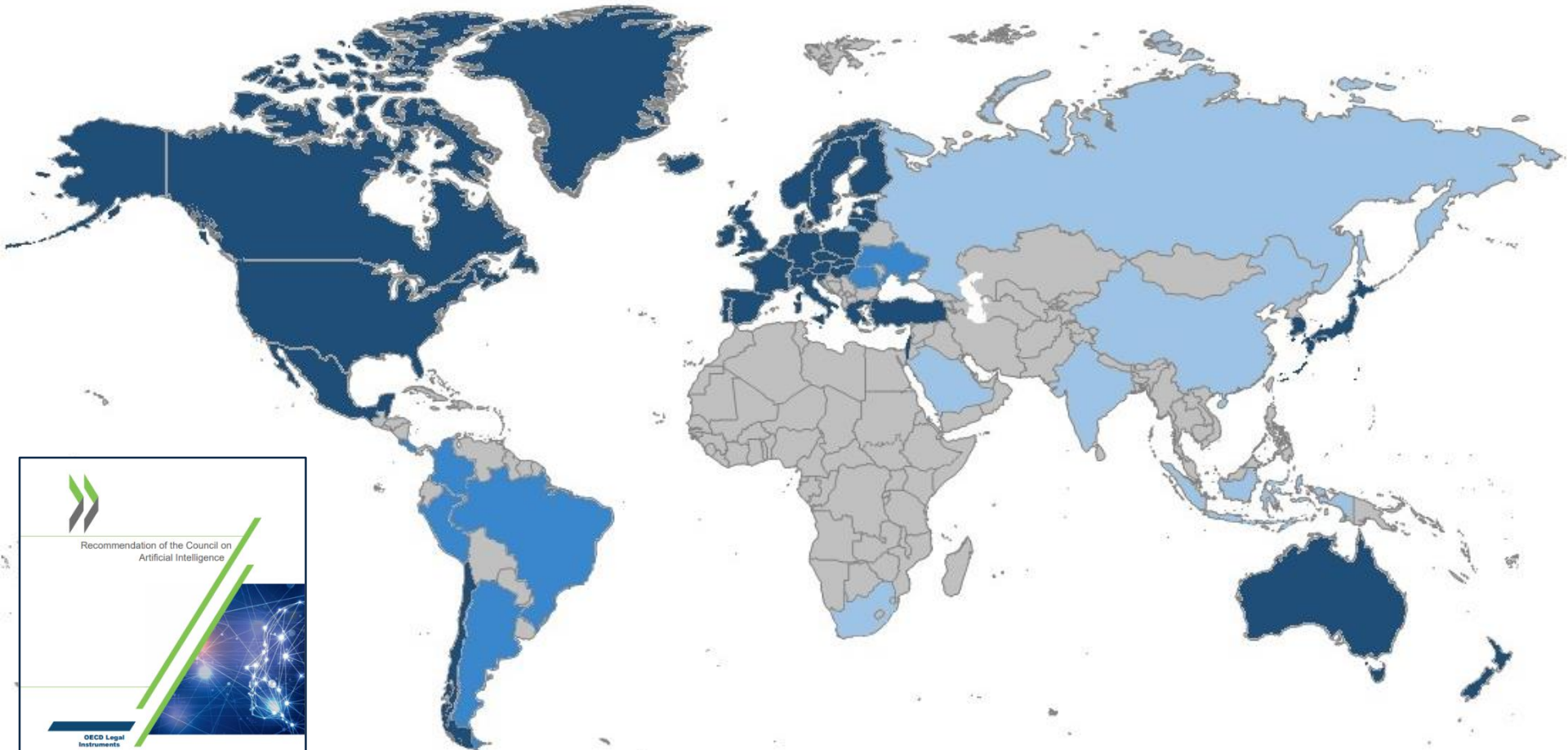
It may be tempting to skip over last week's launch of the Organisation for Economic Co-operation and Development (OECD) artificial intelligence (AI) recommendation as yet another set of non-binding AI principles with little real-world impact, but this would be a mistake.

As the world's first intergovernmental policy guidelines for AI, developed by more than 50 international and multidisciplinary experts, and already adopted by more than 40 countries, the OECD principles in fact represent a new core, or "global reference point," of AI governance.

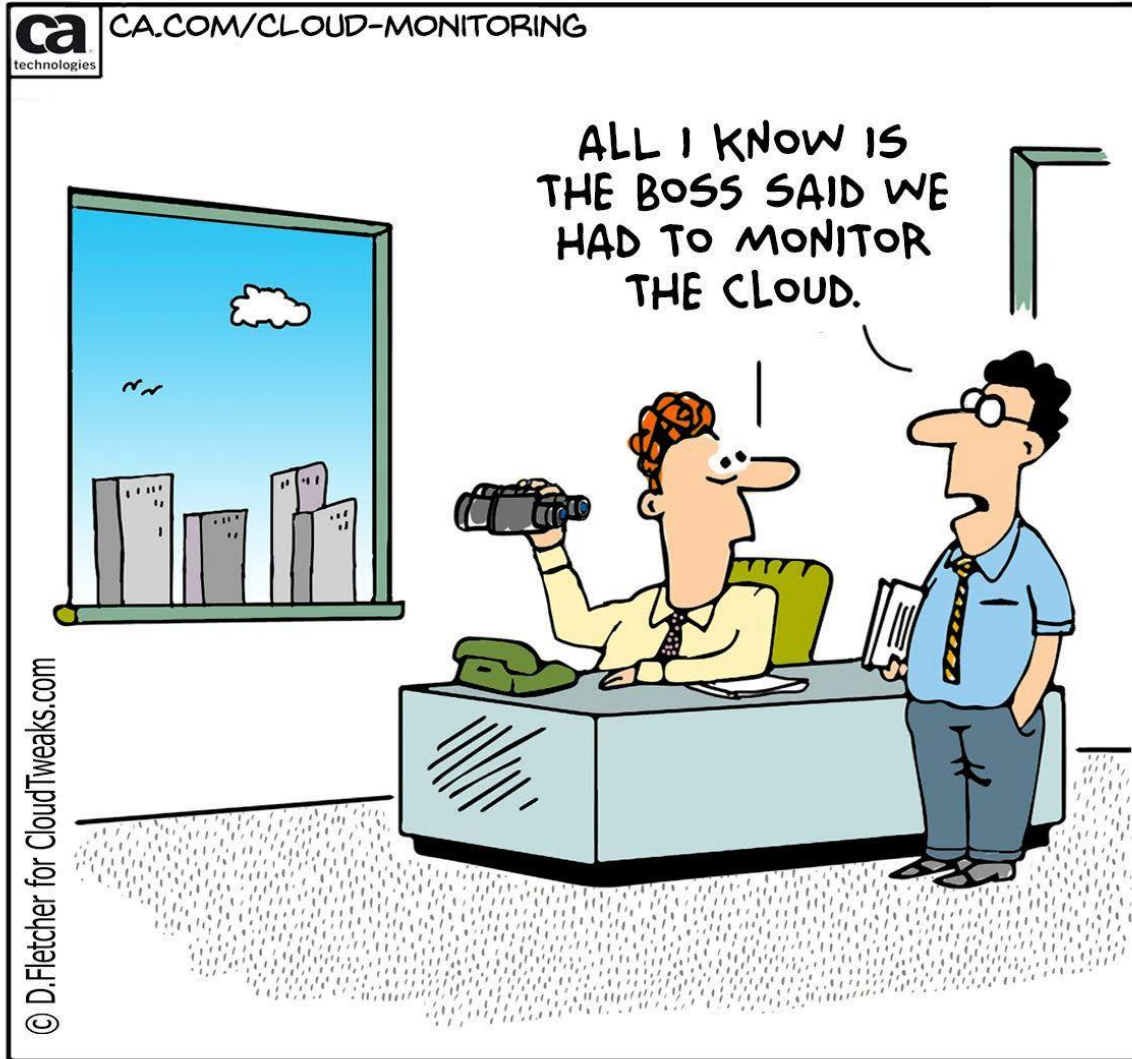
This is significant for several reasons.

Governments that have adhered to the OECD or G20 AI principles

 OECD members  Adherents  G20 principles, based on OECD



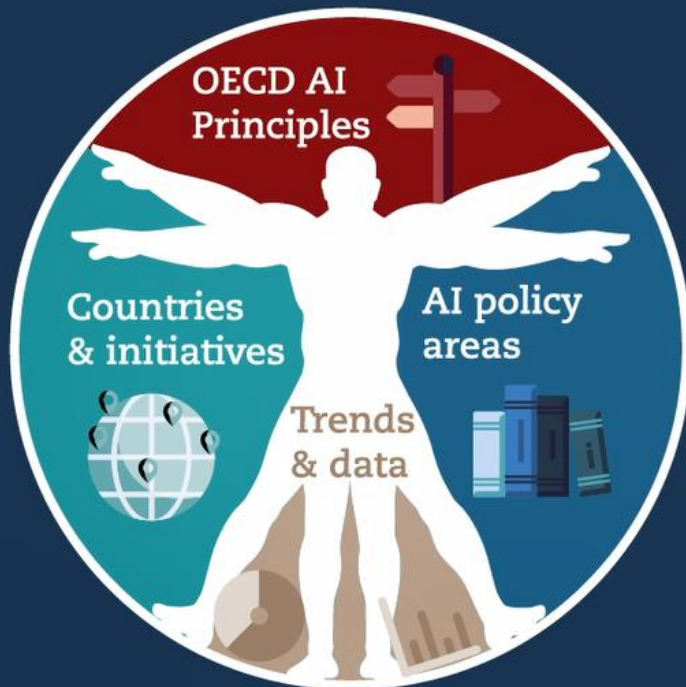
From Principles to Practice



From Principles to Practice

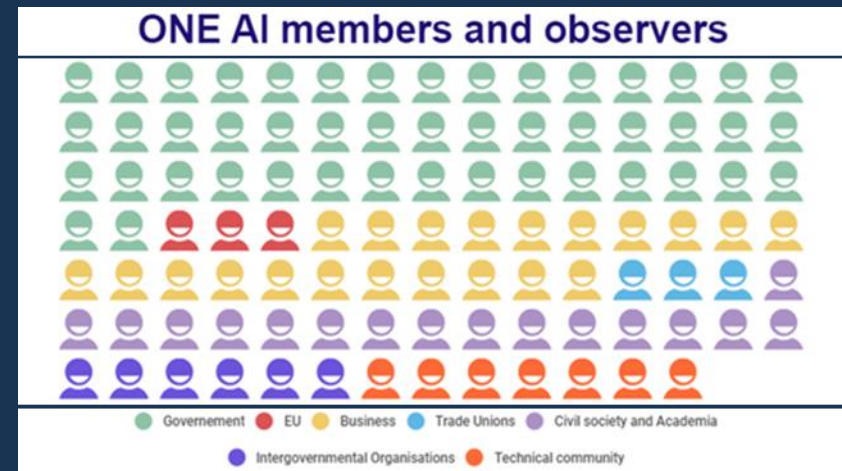
AI Policy Observatory (OECD.AI)

A platform to share & shape public policies for responsible, trustworthy & beneficial AI



OECD Network of Experts on AI (ONE AI)

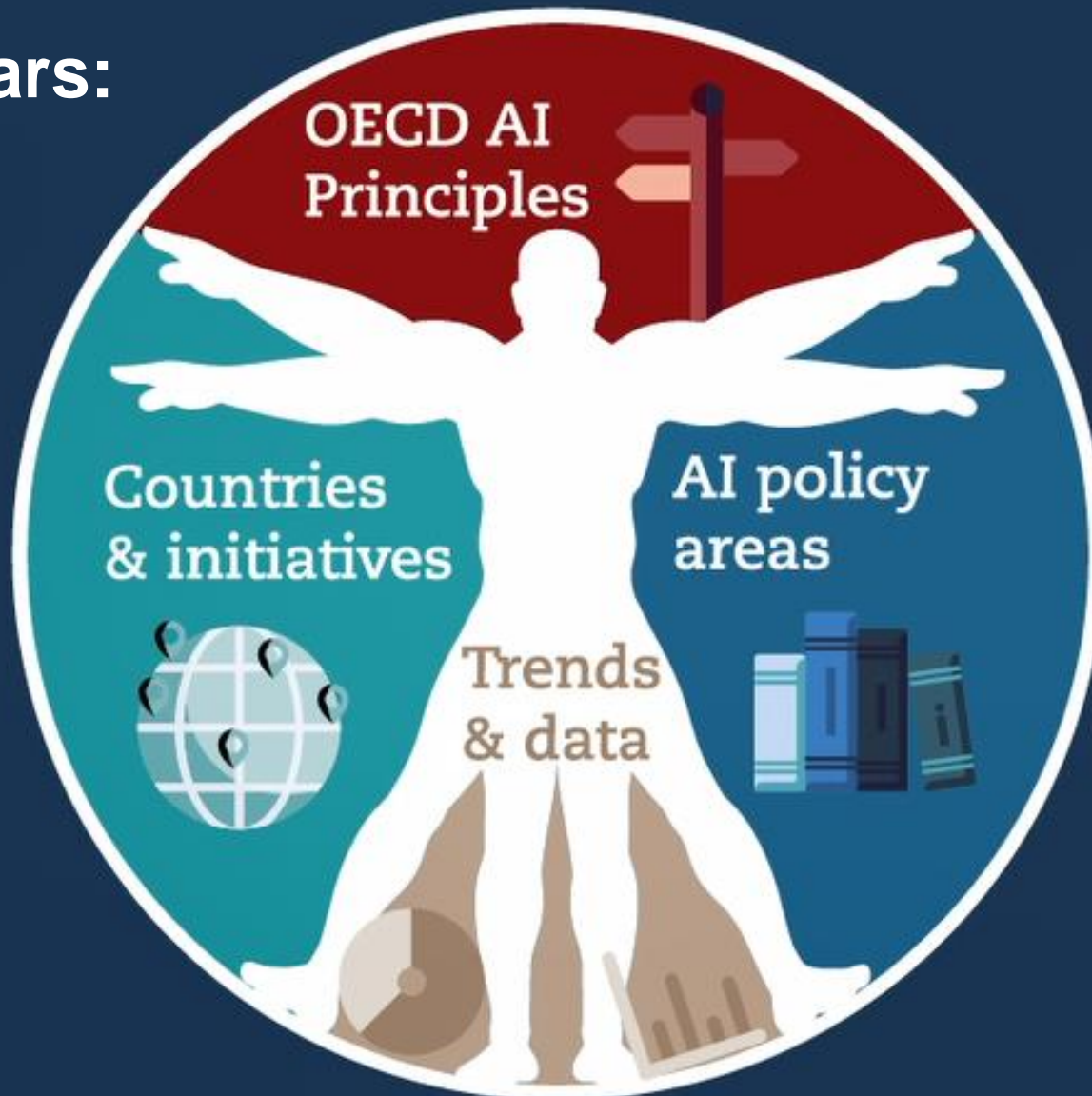
A multi-stakeholder group of experts is helping the OECD CDEP develop practical implementation guidance.



OECD.AI

Shape and share public policies for responsible, trustworthy and beneficial AI

4 pillars:



OECD AI Principles overview

The OECD AI Principles promote use of AI that is innovative and trustworthy and that respects human rights and democratic values. Adopted in May 2019, they set standards for AI that are practical and flexible enough to stand the test of time.

Values-based principles



Inclusive growth, sustainable development and well-being >



Human-centred values and fairness >



Transparency and explainability >



Robustness, security and safety >



Accountability >

Recommendations for policy makers



Investing in AI research and development >



Fostering a digital ecosystem for AI >



Shaping an enabling policy environment for AI >



Building human capacity and preparing for labour market transformation >



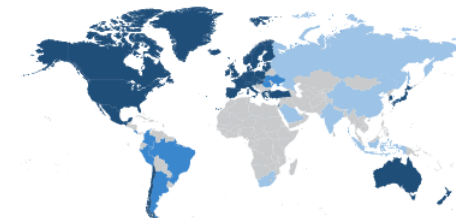
International co-operation for trustworthy AI >

The OECD AI Principles focus on how governments and other actors can shape a human-centric approach to trustworthy AI. As an OECD legal instrument, the principles represent a common aspiration for its adhering countries.



>> [Official text](#)

Governments that have committed to the AI Principles



[Enlarge](#)



Transparency and explainability (Principle 1.3)



This principle is about transparency and responsible disclosure around AI systems to ensure that people understand when they are engaging with them and can challenge outcomes.

Rationale for this principle

The term transparency carries multiple meanings. In the context of this Principle, the focus is first on disclosing when AI is being used (in a prediction, recommendation or decision, or that the user is interacting directly with an AI-powered agent, such as a chatbot). Disclosure should be made with proportion to the importance of the interaction. The growing ubiquity of AI applications may influence the desirability, effectiveness or feasibility of disclosure in some cases.

Transparency further means enabling people to understand how an AI system is developed, trained, operates, and deployed in the relevant application domain, so that consumers, for example, can make more informed choices. Transparency also refers to the ability to provide meaningful information and clarity about what information is provided and why. Thus transparency does not in general extend to the disclosure of the source or other proprietary code or sharing of proprietary datasets, all of which may be too technically complex to be feasible or useful to understanding an outcome. Source code and datasets may also be subject to intellectual property, including trade secrets.

An additional aspect of transparency concerns facilitating public, multi-stakeholder discourse and the establishment of dedicated entities, as necessary, to foster general awareness and understanding of AI systems and increase acceptance and trust.

Explainability means enabling people affected by the outcome of an AI system to understand how it was arrived at. This entails providing easy-to-understand information to people affected by an AI system's outcome that can enable those adversely affected to challenge the outcome, notably – to the extent practicable – the factors and logic that led to an outcome. Notwithstanding, explainability can be achieved in different ways depending on the context (such as, the significance of the outcomes). For example, for some types of AI systems, requiring explainability may negatively affect the accuracy and performance of the system (as it may require reducing the solution variables to a set small enough that humans can understand, which could be suboptimal in complex, high-dimensional problems), or privacy and security. It may also increase complexity and costs, potentially putting AI actors that are SMEs at a disproportionate disadvantage.

Therefore, when AI actors provide an explanation of an outcome, they may consider providing – in clear and simple terms, and as appropriate to the context – the main factors in a decision, the determinant factors, the data, logic or algorithm behind the specific outcome, or explaining why similar-looking circumstances generated a different outcome. This should be done in a way that allows individuals to understand and challenge the outcome while respecting personal data protection obligations, if relevant.

Related online news from EventRegistry

 News language ▾

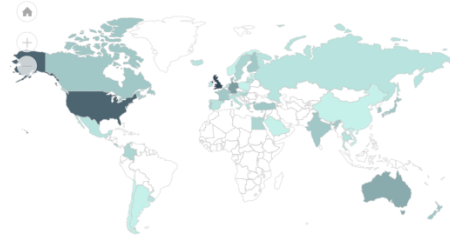
Coronavirus: NSE gives more time to brokers for submission of reports
 Apr 9, 2020

Compliance: Your New Best Friend?
 Jan 27, 2020

Ajman Department of Ports and Customs launches artificial and business intelligence (AI/BI) powered services
 Ajman Jan 14, 2020

Here's how DBS is trying to fend off Financial crimes
 Nov 15, 2019

Related AI policy initiatives

 Choose visualization ▾

[European Union \(31\)](#)

Related OECD publications

 Choose visualization ▾

7 results

2019 - Artificial Intelligence in Society: Public policy considerations

2019 - Artificial Intelligence in Society: The technical landscape

2019 - Hello, World: Artificial intelligence and its use in the public sector

2019 - Scoping the OECD AI principles : Deliberations of the Expert Group on Artificial Intelligence at the OECD (AIGO)

Related recent scientific research

 Research status ▾

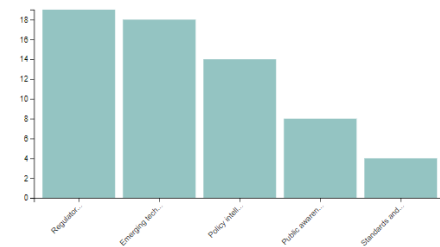
DeepXplore: automated whitebox testing of deep learning systems
 Oct 24, 2019

XLNet: Generalized Autoregressive Pretraining for Language Understanding
 Dec 8, 2019

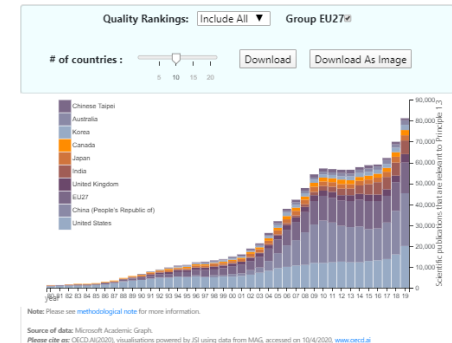
Deep Supervised Hashing for Fast Image Retrieval
 Sep 1, 2019

One Pixel Attack for Fooling Deep Neural Networks
 Oct 1, 2019

Related types of policy instruments used























Related scientific research by country



Policy areas overview

AI is a new general-purpose technology that will impact every field of public policy. Here is the latest AI policy research taking place in different policy communities across the OECD and beyond.

	Agriculture >		Employment >		Public governance >
	Competition >		Environment >		Science and technology >
	Corporate governance >		Finance and insurance >		Social and welfare issues >
	Development >		Health >		Tax >
	Digital economy >		Industry and entrepreneurship >		Trade >
	Economy >		Innovation >		Transport >
	Education >		Investment >		



[OECD.AI programme on Work, Innovation, Productivity and Skills](#)

AI & employment

AI is widely expected to change the nature of work as it diffuses across sectors. It will complement humans in some tasks, replace them in others and also generate new types of work. This section showcases the latest on AI and employment.



Related OECD publications

Choose visualization From newest to oldest

12 results

- 2019 - Measuring the Digital Transformation: Adaptability
- 2019 - OECD Employment Outlook 2019 : The Future of Work
- 2019 - OECD Skills Outlook 2019: Thriving in a Digital World
- 2019 - Statistical profiling in public employment services : An international comparison

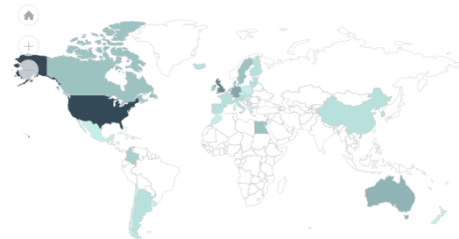
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Feb 27, 2020
- Hubtek offers robotic process automation
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- Automation, climate change, AI: schools prepping students for jobs of the future
Alberta Jan 2, 2020
- Reskilling the UK in the face of AI growth
Dec 9, 2019

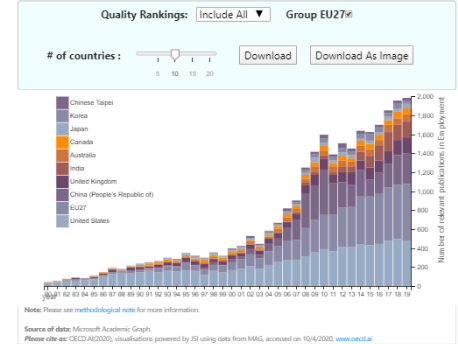
Related AI policy initiatives

Choose visualization By initiative count

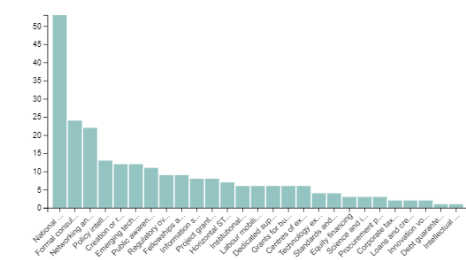


European Union (3)

Related scientific research by country



Related types of policy instruments used



Related top concepts in research



Related recent scientific research

- Research status Published
- A scalable pipeline for designing reconfigurable organisms
Jan 13, 2020
 - Gender Differences in Earnings of Early- and Midcareer Pediatricians
Oct 1, 2019
 - Genetic design automation for autonomous formation of multicellular shapes from a single cell progenitor
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 - Visual Interaction with Deep Learning Models through Collaborative Semantic Inference
Jan 1, 2020

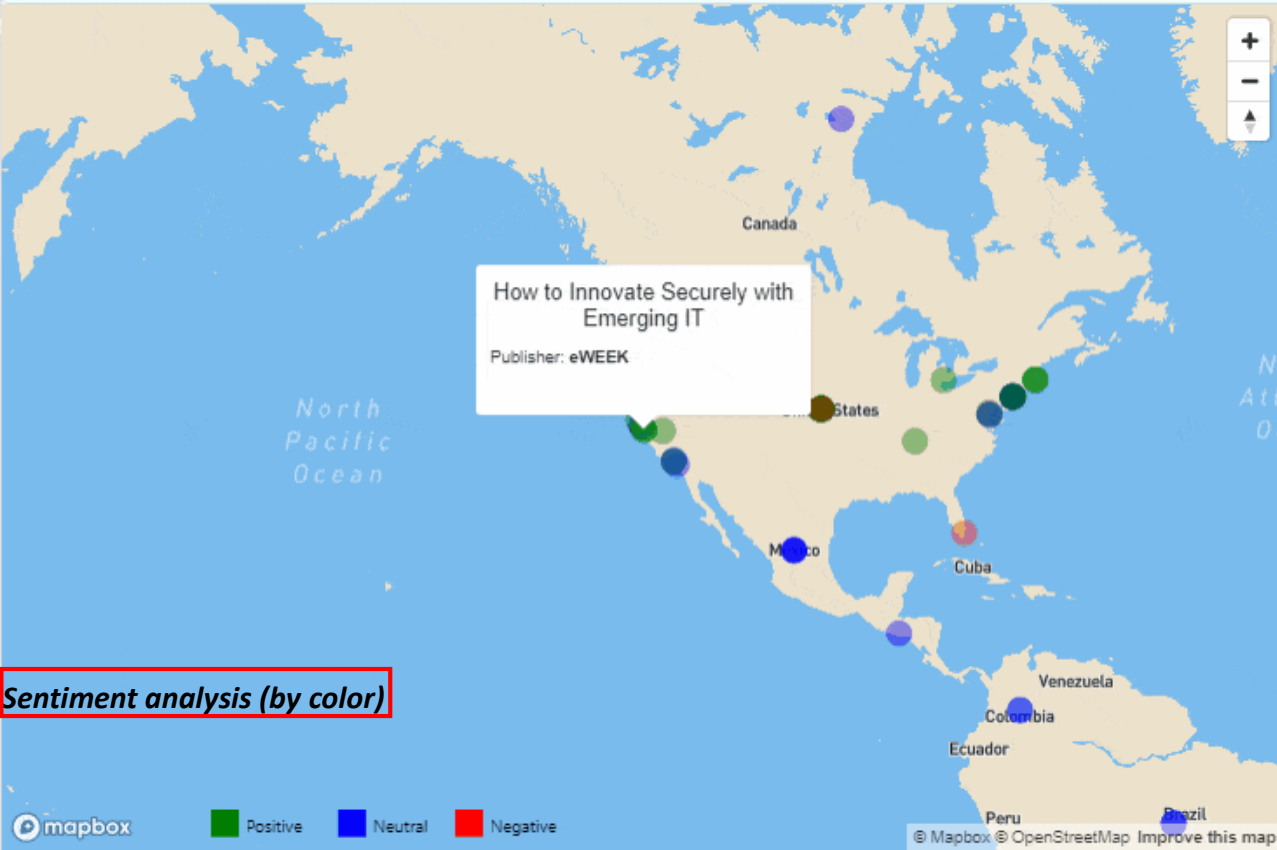
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- AI news
- AI research
- AI jobs and skills

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How to Innovate Securely with Emerging IT
Fri Oct 02 2020, 14:50
- SankeiBiz サンケイビズ
大阪大学が新型コロナウイルス感染症等に関するSNSによるこころの相談の充...
Fri Oct 02 2020, 14:53
- Računalniške novice
Spoznajte edini mesti na svetu, ki svoje algoritme odpirata ljudem
Fri Oct 02 2020, 15:07
- MIT Technology Review
A VR film/game with AI characters can be different every time you...
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Why is the World Going to Hell? Netflix's The Social Dilemma Tells...
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- TechRepublic
MIT Report: How AI changes the

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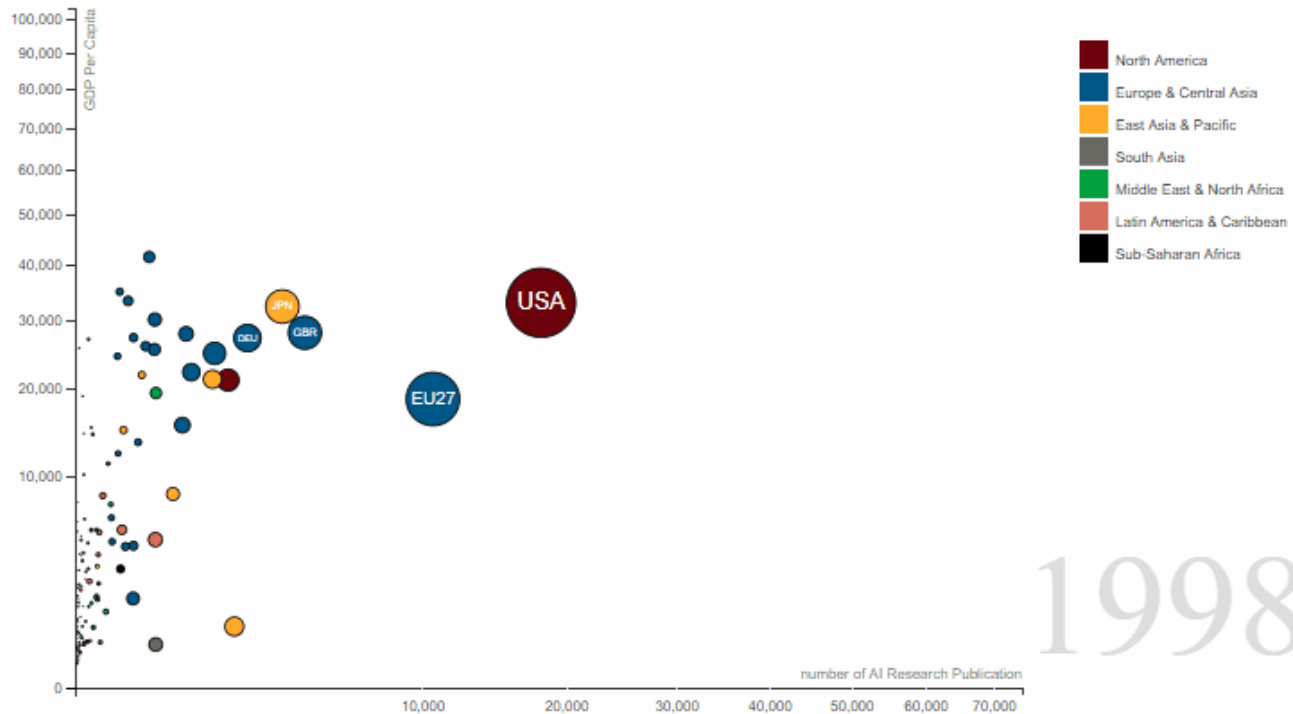
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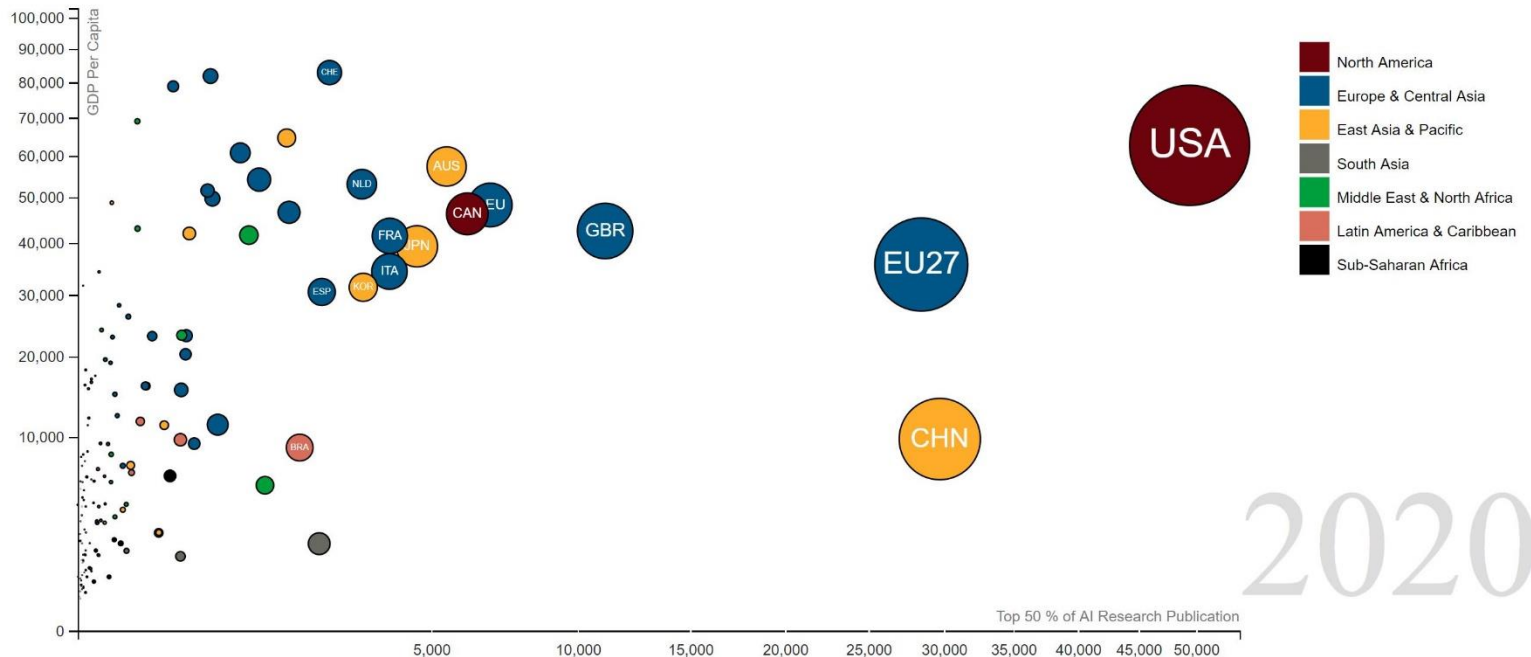
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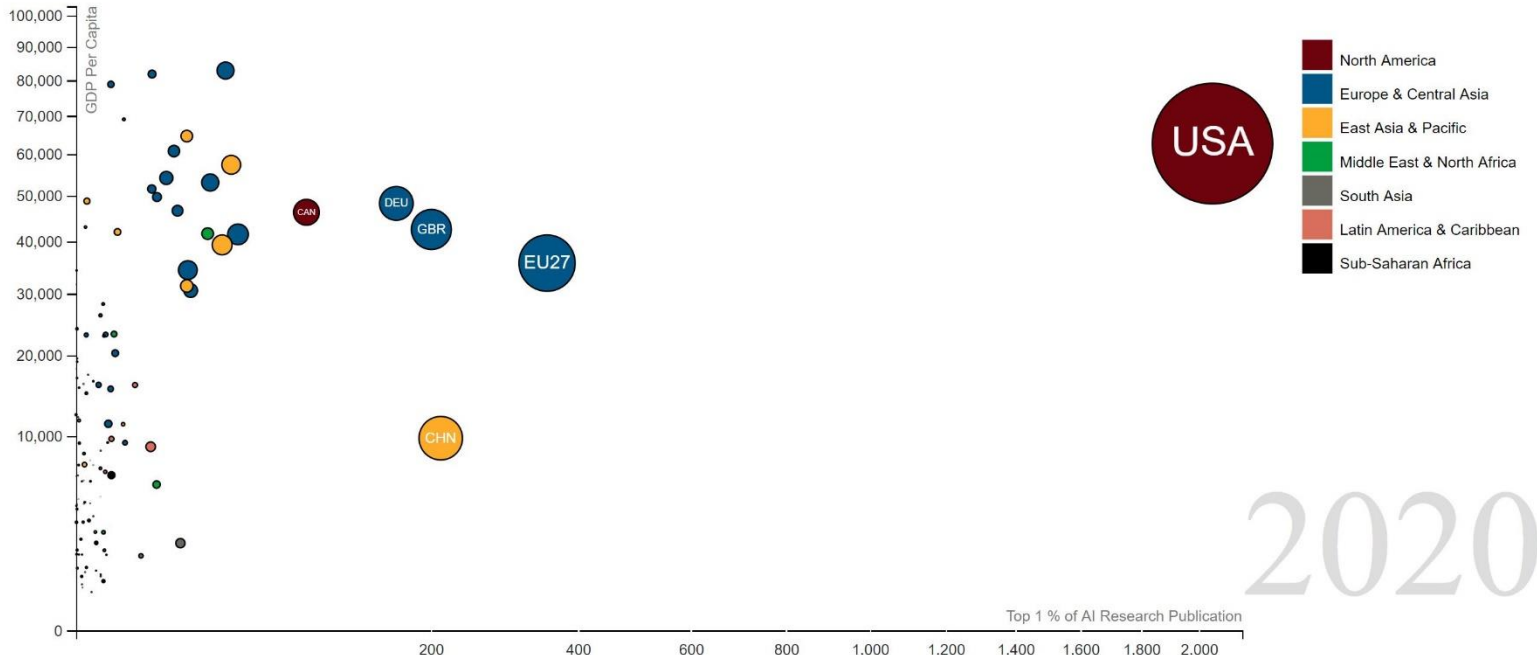
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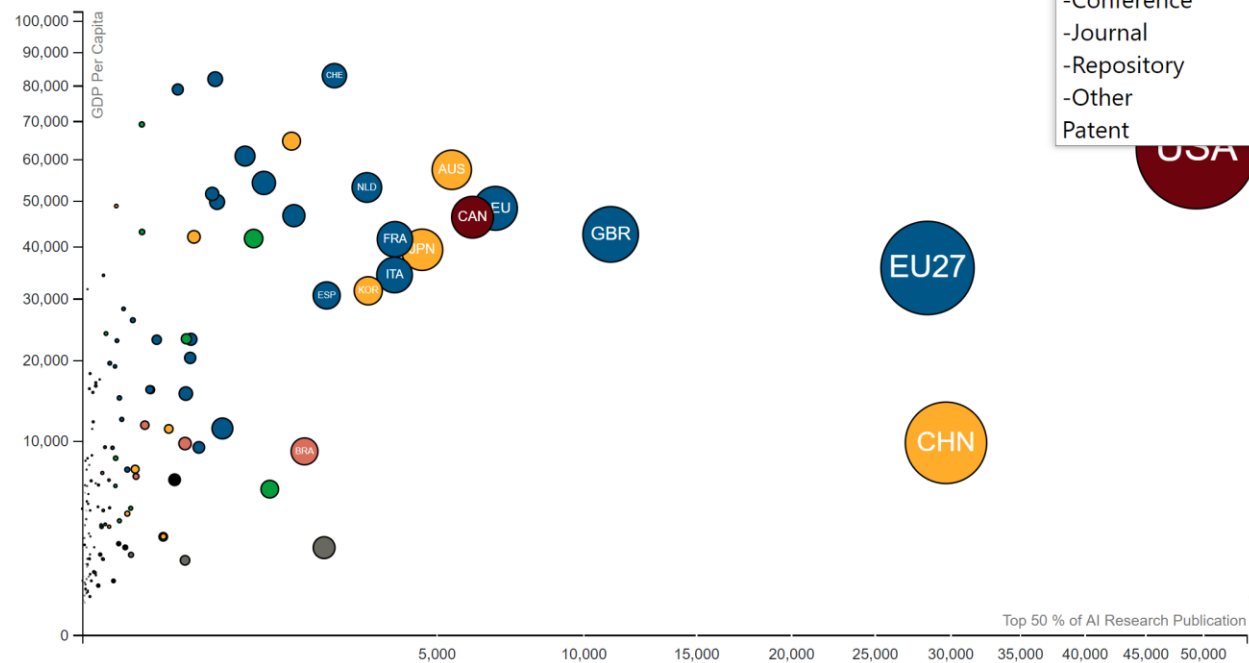
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Estimated 2020 value

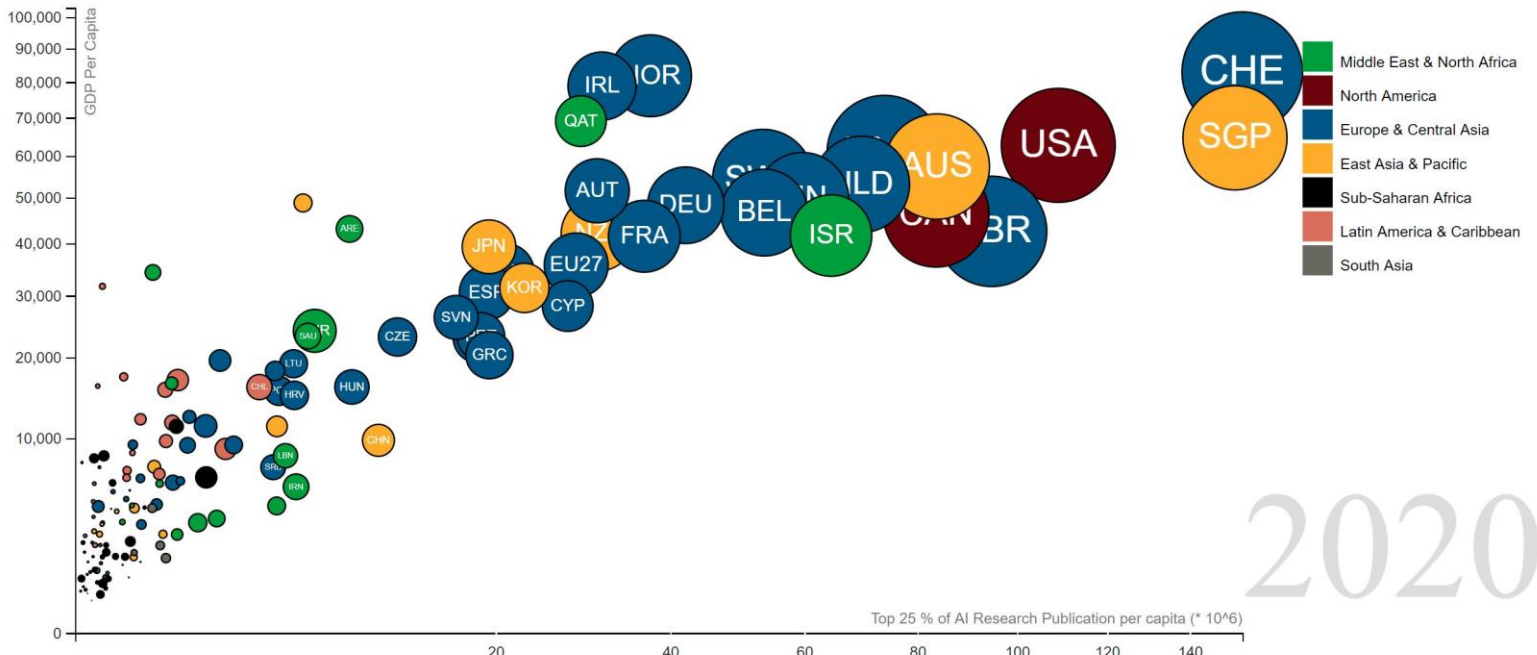
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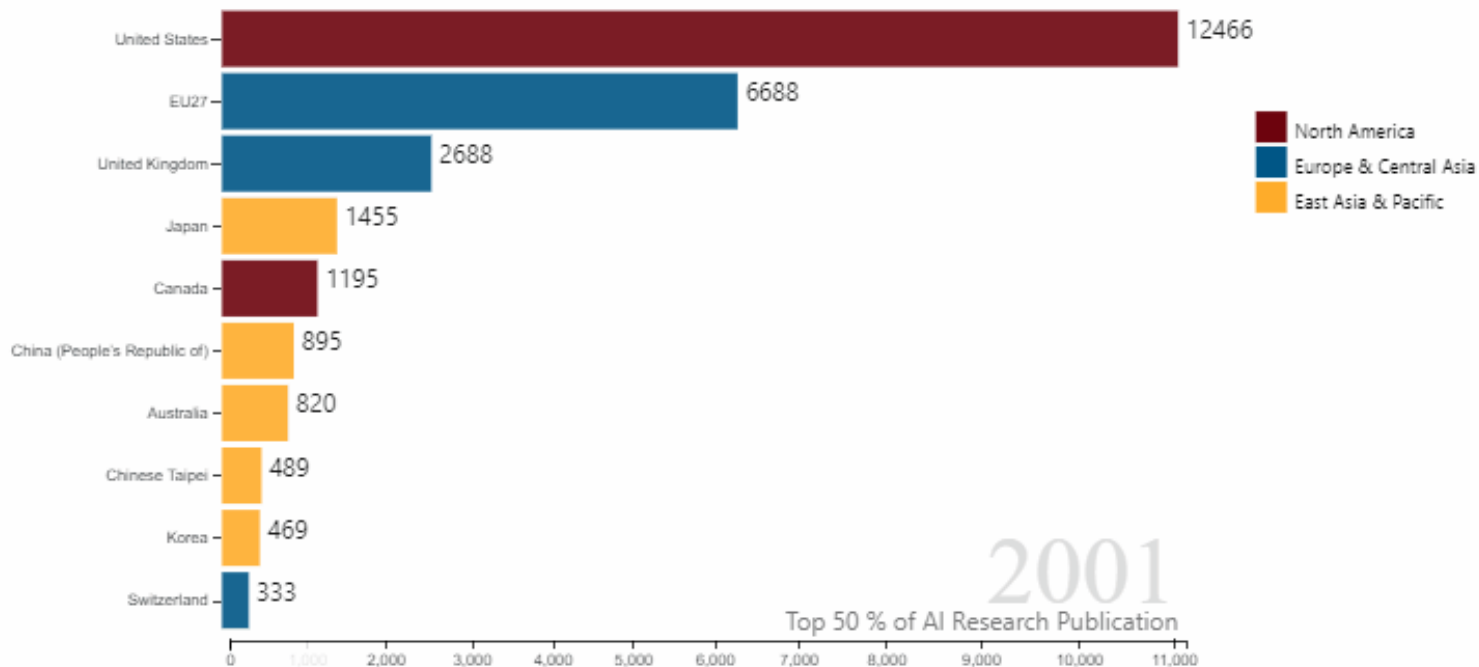
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Note: The 'cumulative' option displays aggregate results since 1980. Please see [methodological note](#) for more information.

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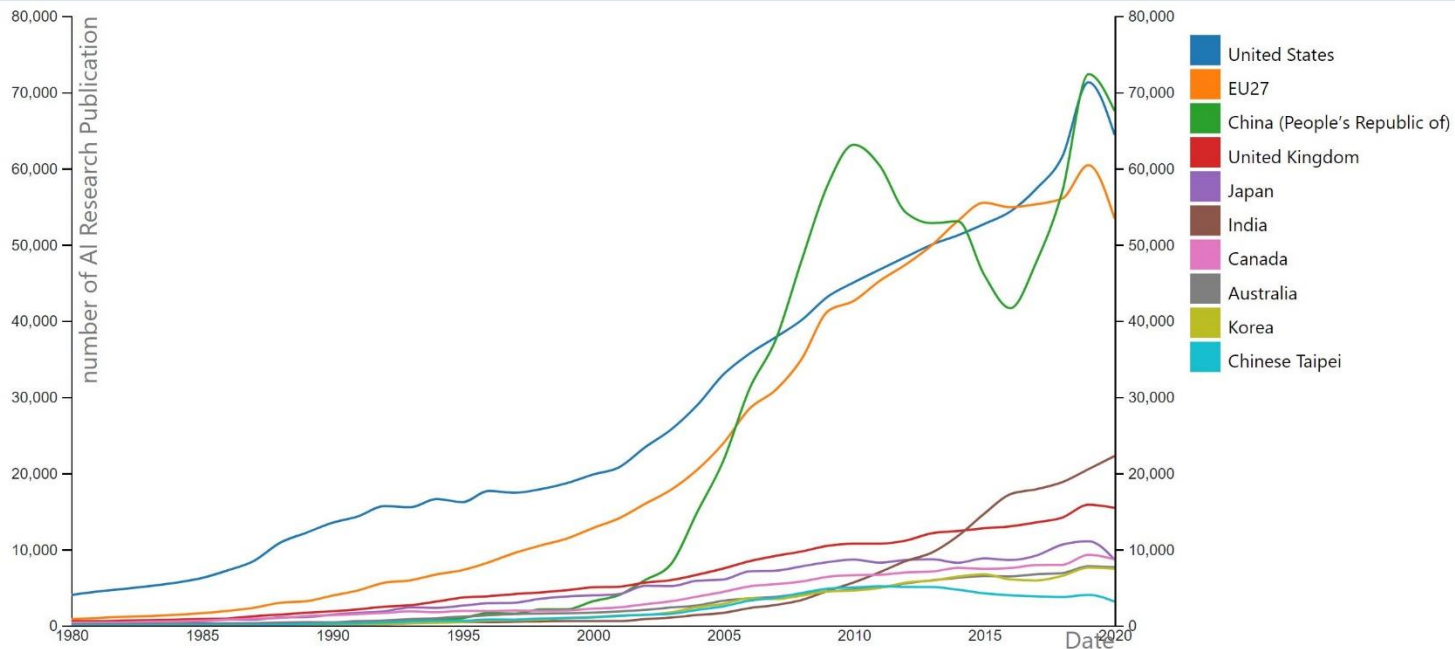
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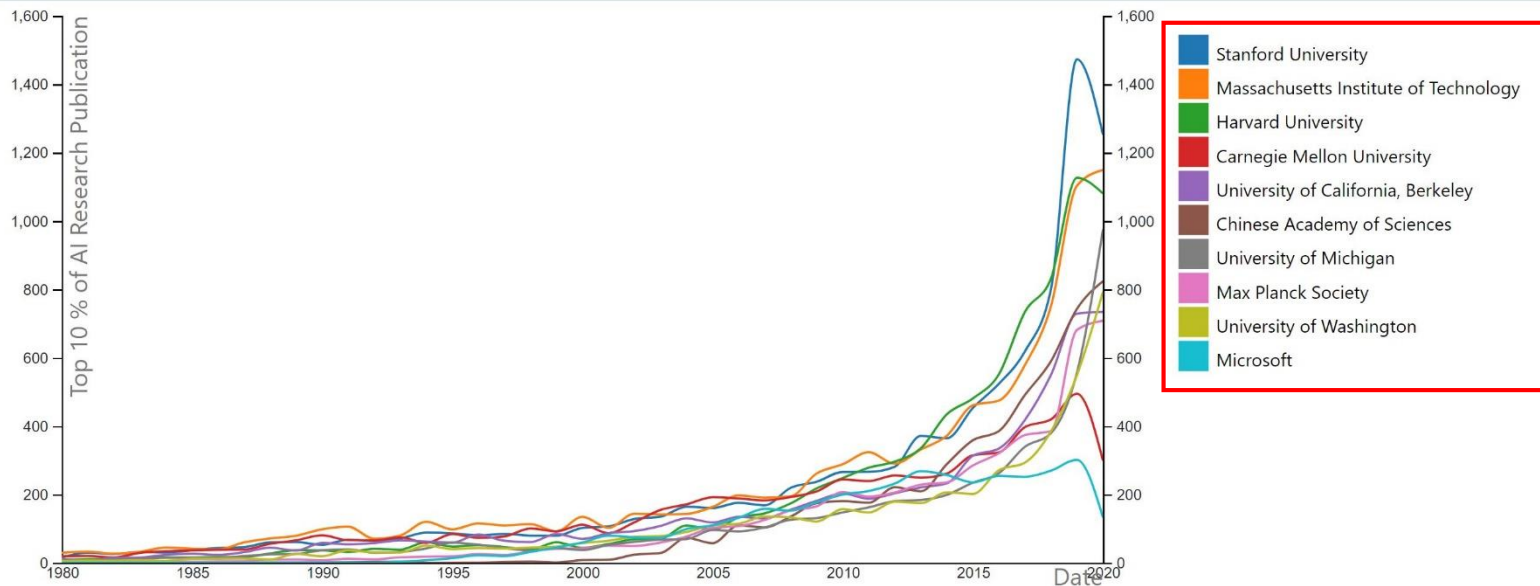
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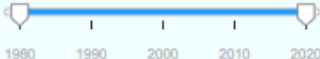
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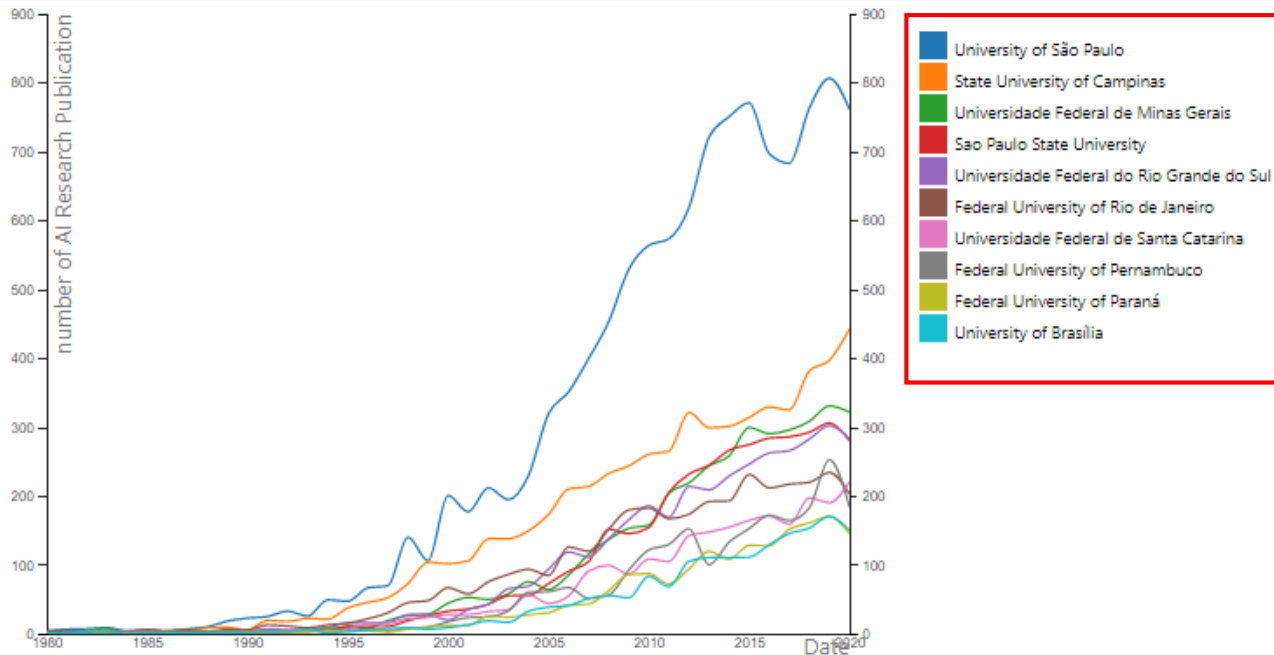
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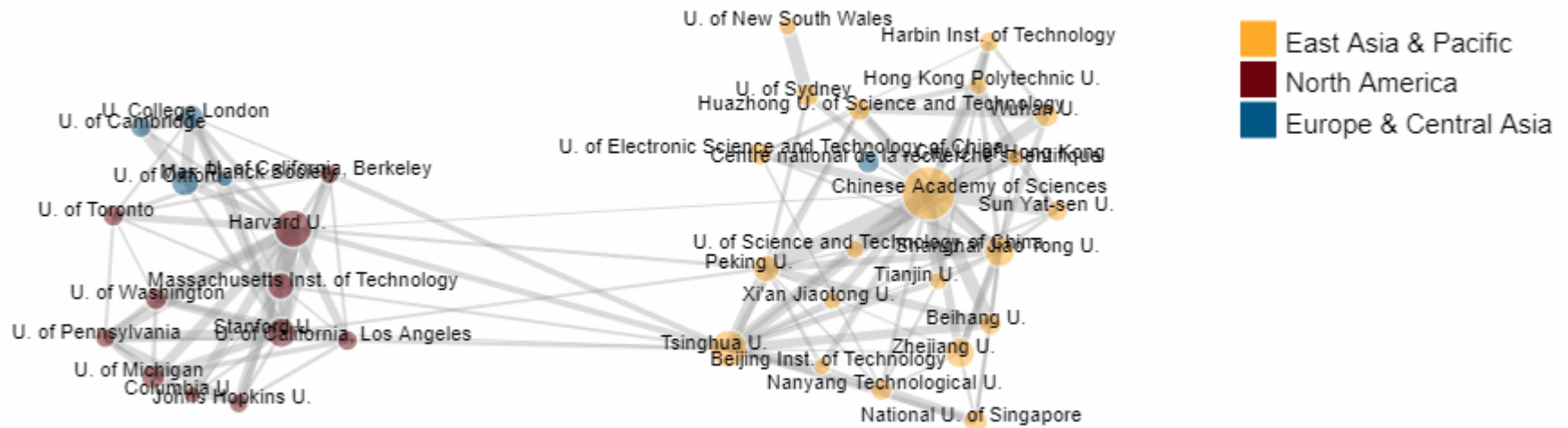
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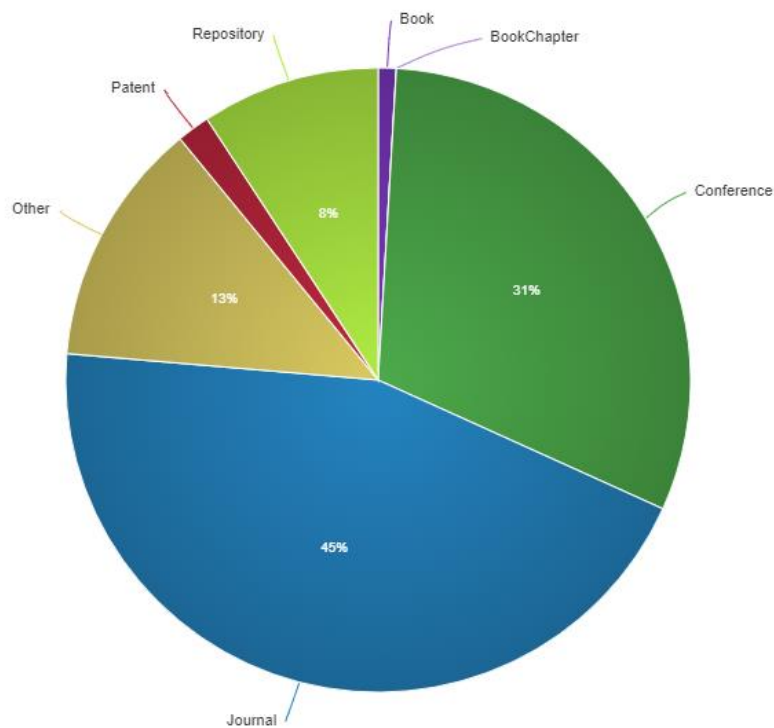
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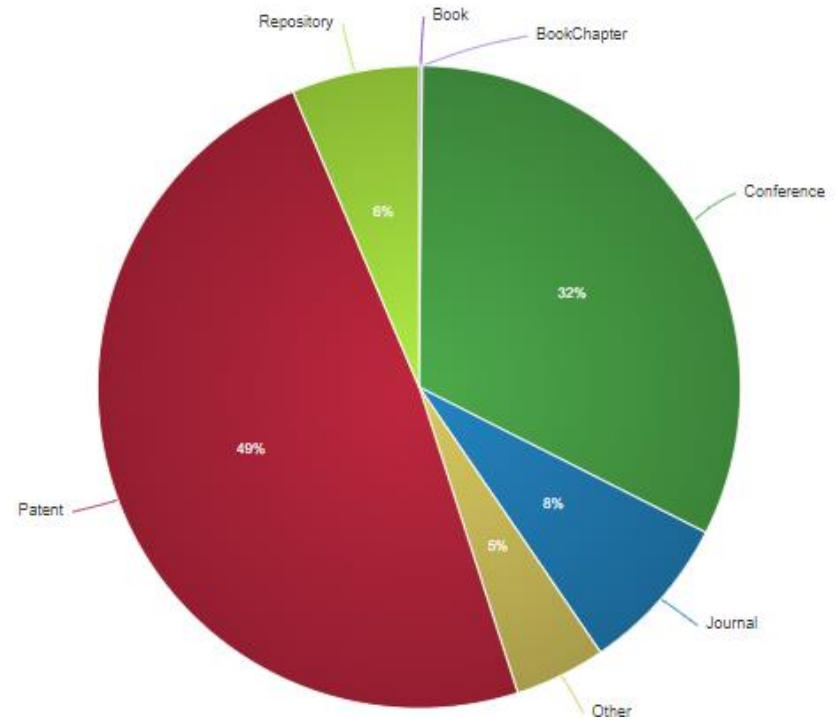
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AI jobs and skills

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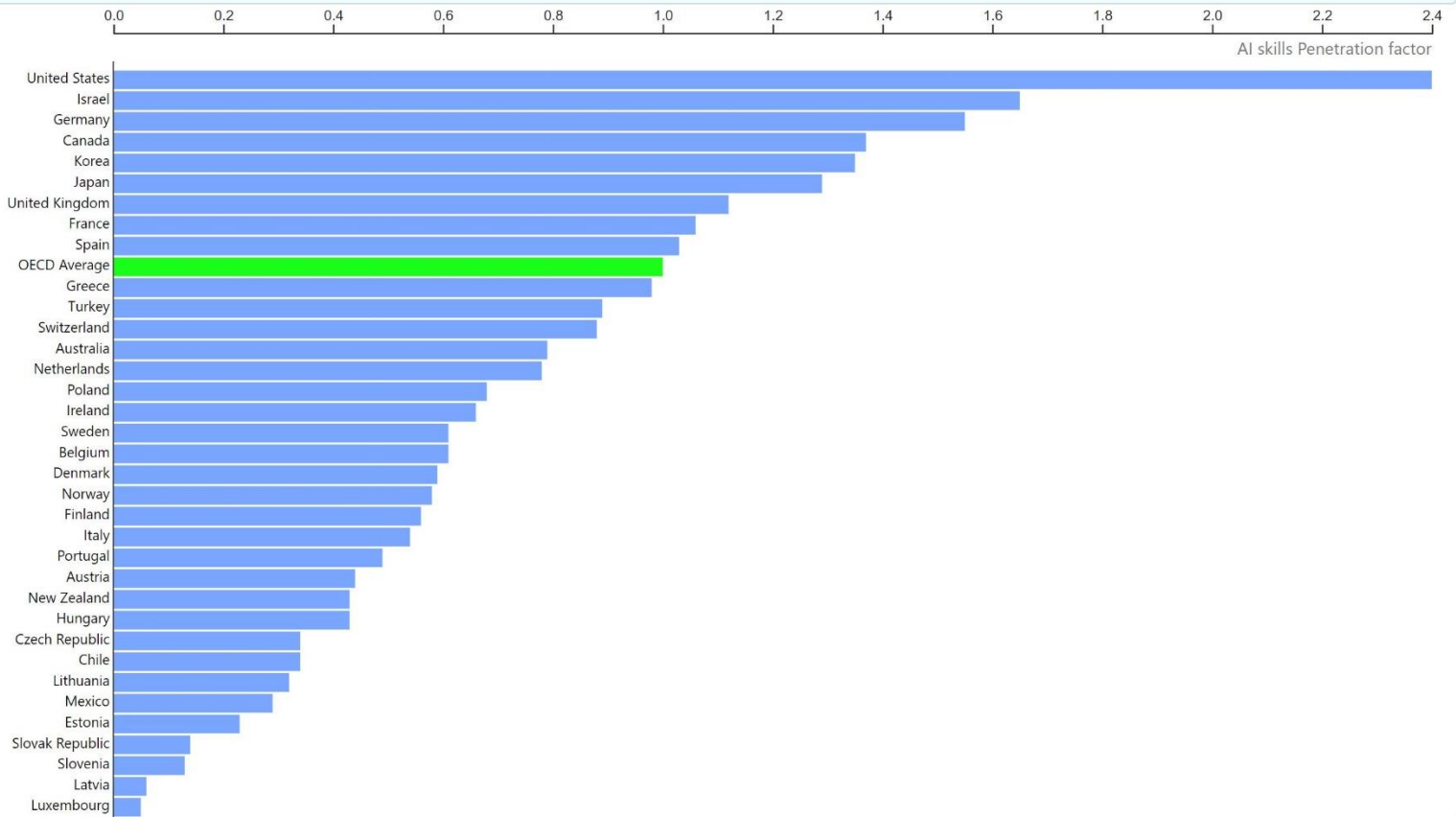
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AI skills penetration

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Measurement: OECD Average G20 Average



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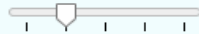
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AI skills migration

Year:



Resume

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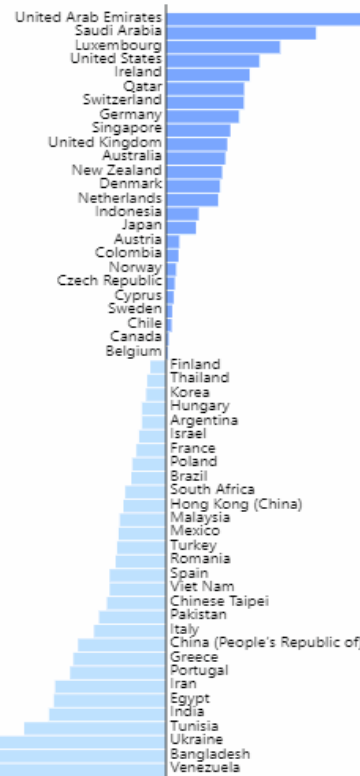
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2015 2016 2017 2018 2019



AI skills migration (per 10 000 LinkedIn members)



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OECD.AI data from partners: methodological note



OECD.AI
Policy Observatory

Last updated on: 8/06/2020



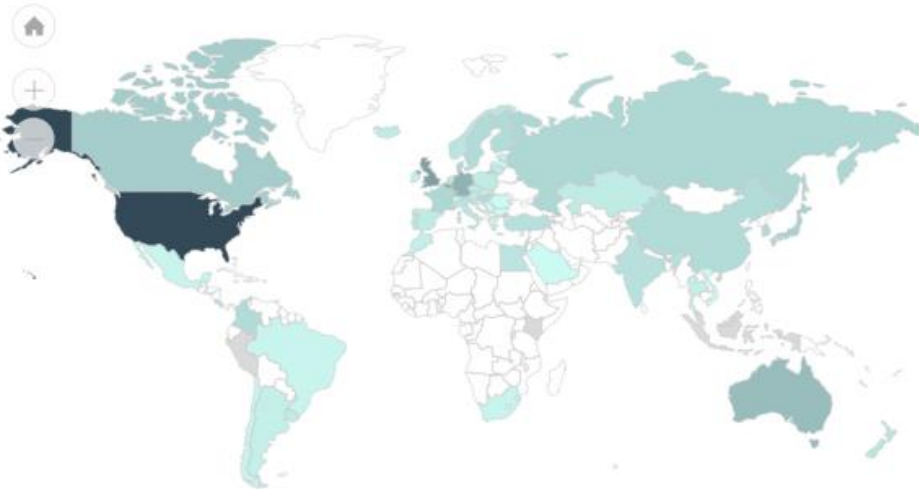
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[European Union \(22\)](#)**60**

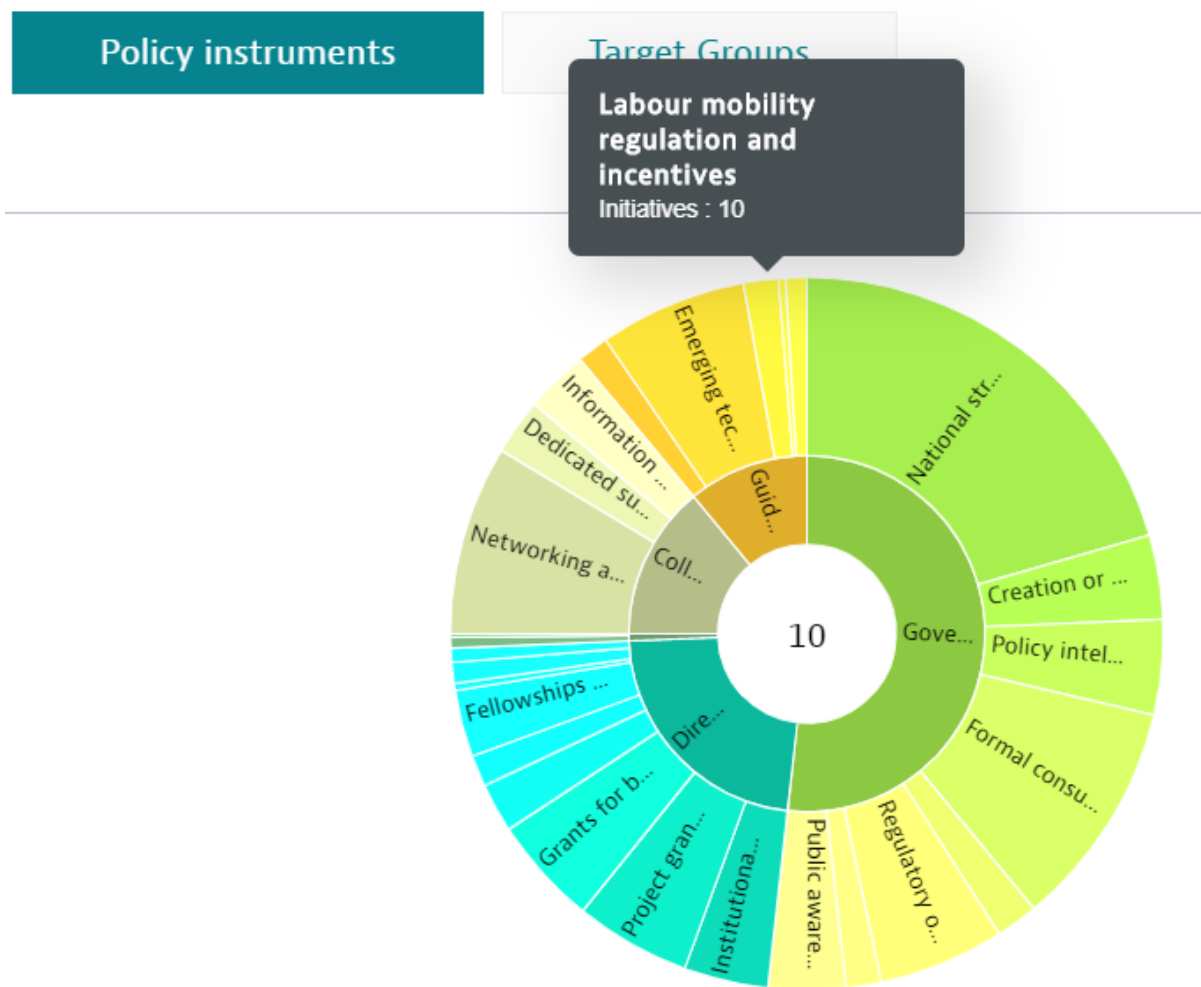
Countries, territories and the EU

300+

National AI policies, initiatives and instruments

National AI policies & strategies

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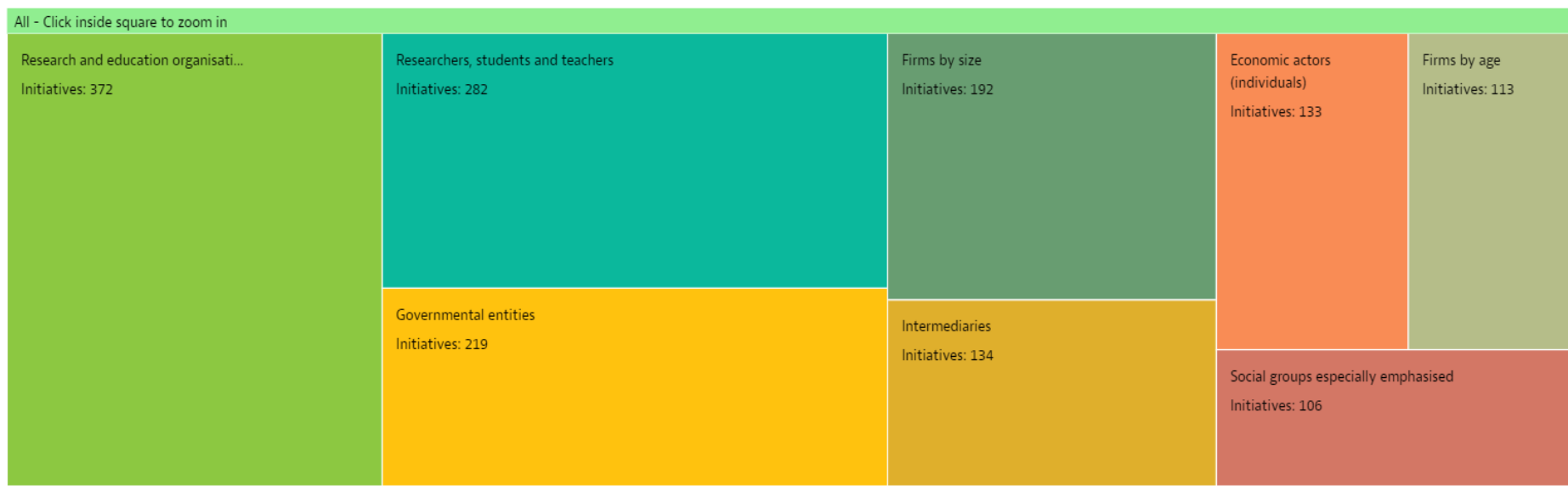


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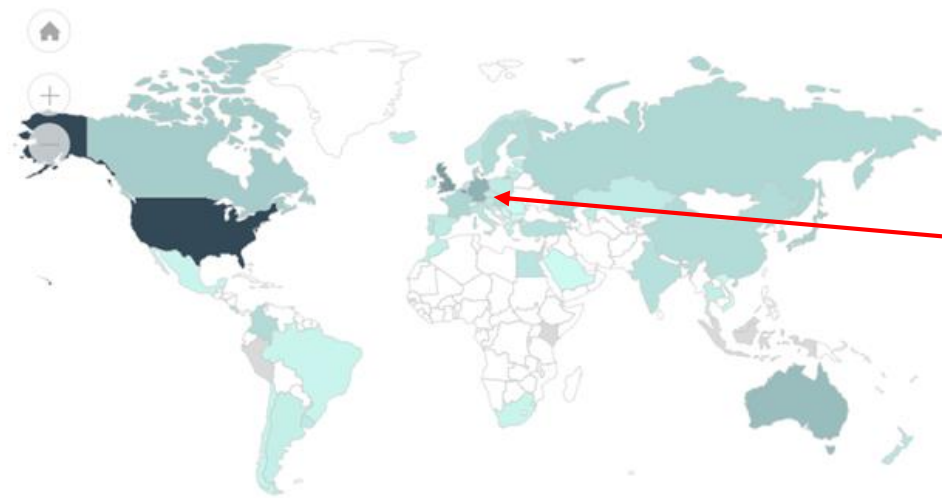
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Select a country on the map / flags

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- AI trending search topics
- Venture capital investments
- Financial statements
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- AI job adverts
- AI master's degrees

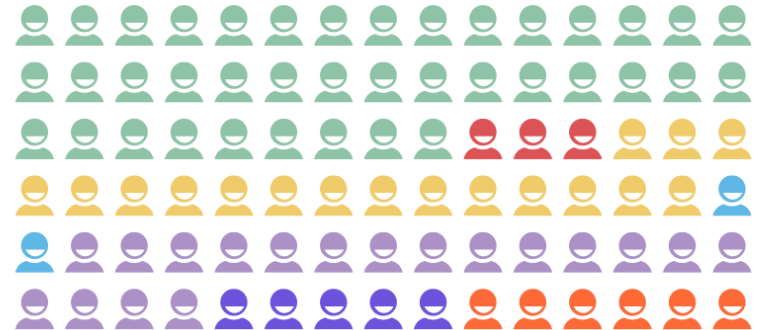
ONE AI

A multi-stakeholder group of experts is helping the OECD CDEP develop practical implementation guidance.

3 Working Groups:

1. The classification of AI systems
2. Implementing trustworthy AI
3. National AI policies

ONE AI members and observers



● Government ● EU ● Business ● Trade Unions ● Civil society and Academia
● Intergovernmental Organisations ● Technical community



OECD AI Principles

ONE AI - WG1
Classifying AI systems

Principles for responsible stewardship of trustworthy AI

- 1.1. Inclusive growth, sustainable development and well-being
- 1.2. Human-centred values and fairness
- 1.3. Transparency and explainability
- 1.4. Robustness, security and safety
- 1.5. Accountability

ONE AI - WG2
'Implementing
trustworthy AI'

National policies and international cooperation for trustworthy AI

- 2.1. Investing in AI research and development
- 2.2. Fostering a digital ecosystem for AI
- 2.3. Providing an enabling policy environment for AI
- 2.4. Building human capacity and preparing for labour transition
- 2.5. International cooperation

ONE AI - WG3
'National AI policies'

Goal: Develop a user-friendly framework to navigate policy implications of different types of AI systems

Approach: Explore AI system attributes via 4 different dimensions to develop the framework:

- **Context / sector** (e.g., transportation, healthcare, hiring/HR)
- **Output / task** that describe how the AI system interacts with the world (e.g., recognition, personalization, event detection etc.)
- **Input / data**
- **AI model / technological methods** that substantively inform other attributes (e.g., robustness, level of automation, explainability).

Co-Moderators:



Marko Grobelnik, AI Researcher & Digital Champion,
AI Lab, Slovenia Jozef Stefan Institute



Dewey Murdick, Director of Data Science, Center for
Security and Emerging Technology (CSET), School of
Foreign Service, Georgetown University



Jack Clark, Policy Director, OpenAI

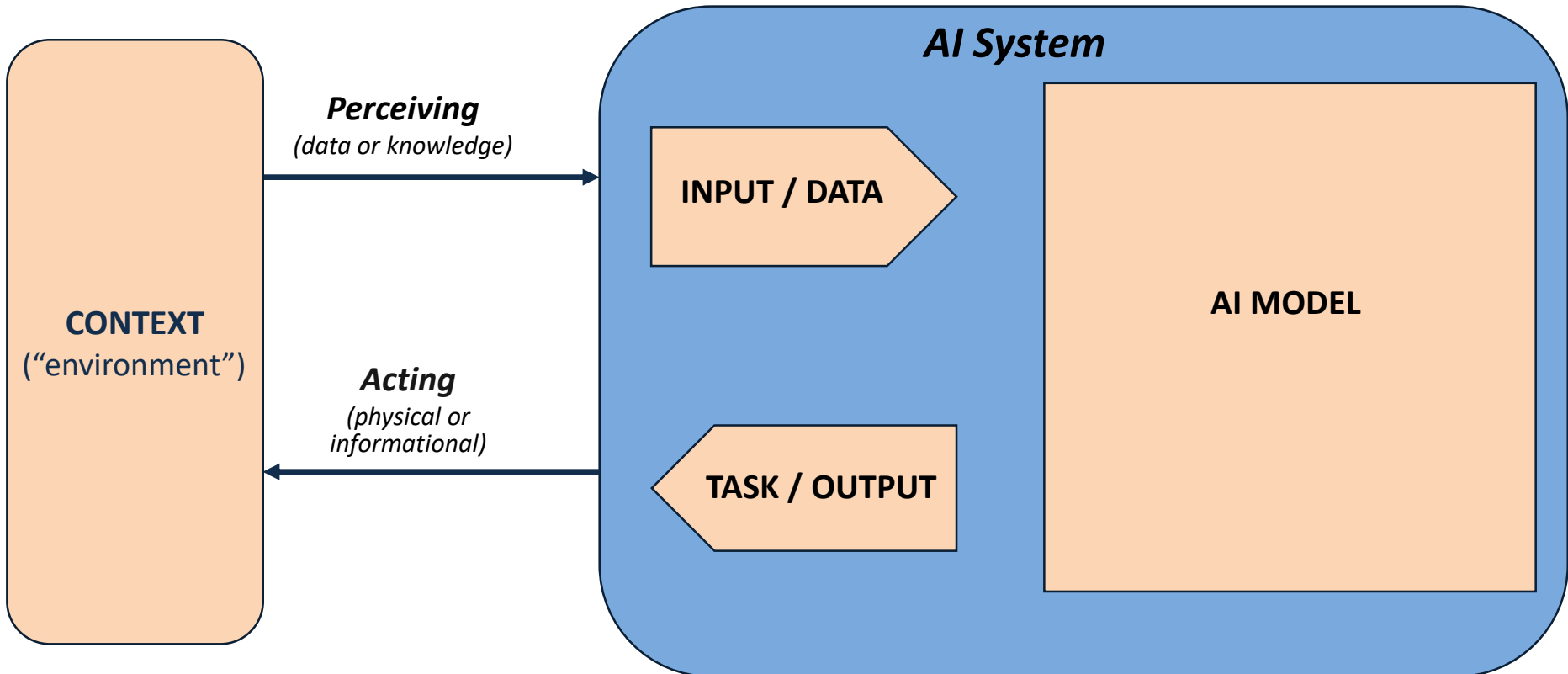
«Comment voulez-vous gouverner un pays où il existe 258 variétés de fromage !»

Charles de Gaulle, 1962

Lots of different cheeses!



Different types of AI systems in different contexts raise different policy considerations



An AI system is a machine-based system that is capable of influencing the environment by making recommendations or predictions for a given set of objectives. It uses inputs/data to: i) perceive environments; ii) abstract these perceptions into models; and iii) interpret the models.

AI systems are designed to operate with varying levels of autonomy.

Goal: Identify practical guidance and shared procedural approaches to help AI actors and decision-makers to implement effective, efficient and fair policies for trustworthy AI.

Approach: a short and practical framework serving as a reference for AI actors in their implementation efforts via analysis of a survey of initiatives – over 70 fairly detailed responses with many of the main initiatives covered:

- Codes of conduct/guidelines; Risk management frameworks; Corporate governance.
- Software tools; Technical research; Technical standard.
- Awareness building; Capacity building.

Co-moderators:



Adam Murray, ONE AI Chair and US delegate to CDEP



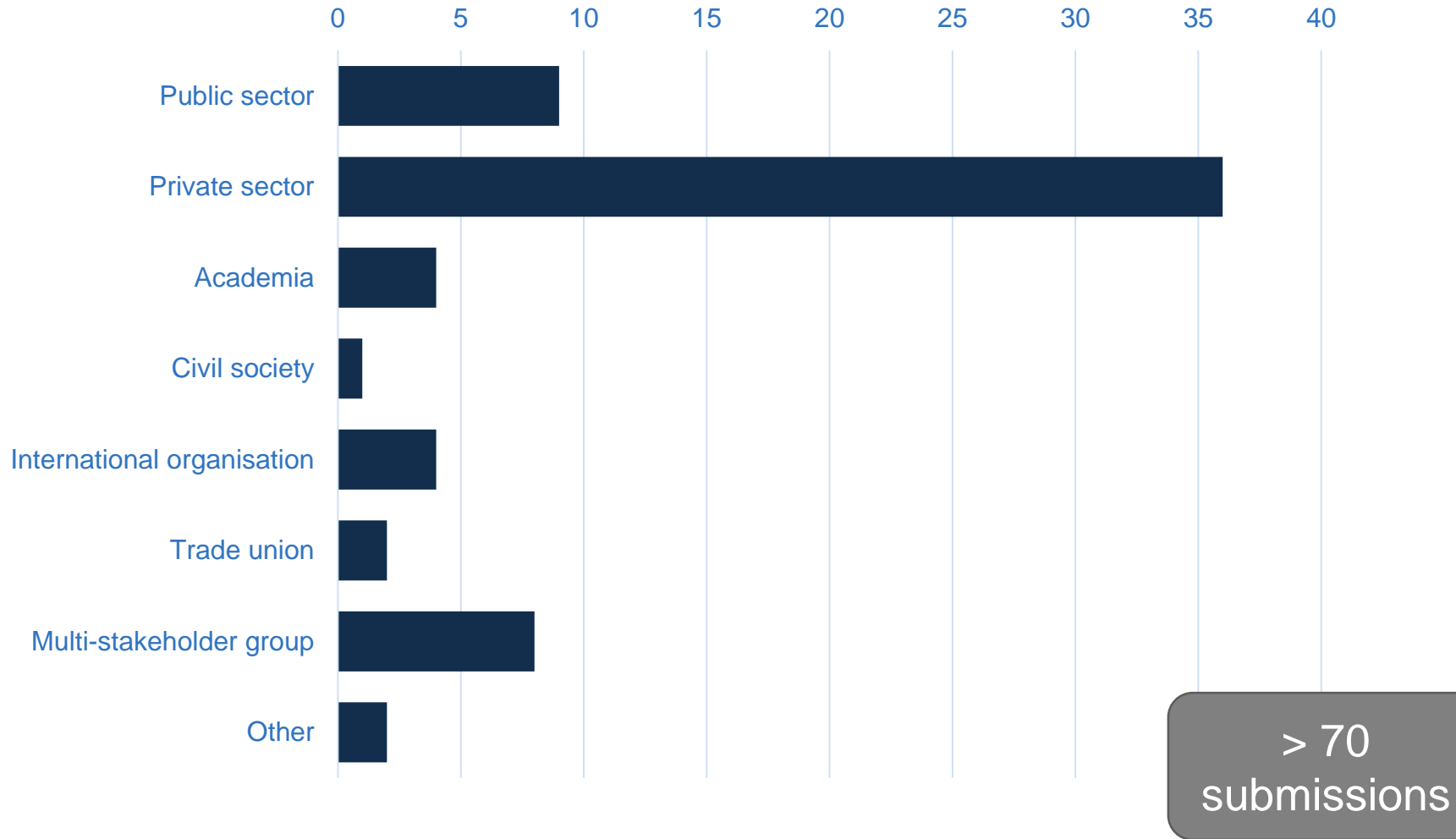
Carolyn Nguyen, Director of Technology Policy, Microsoft

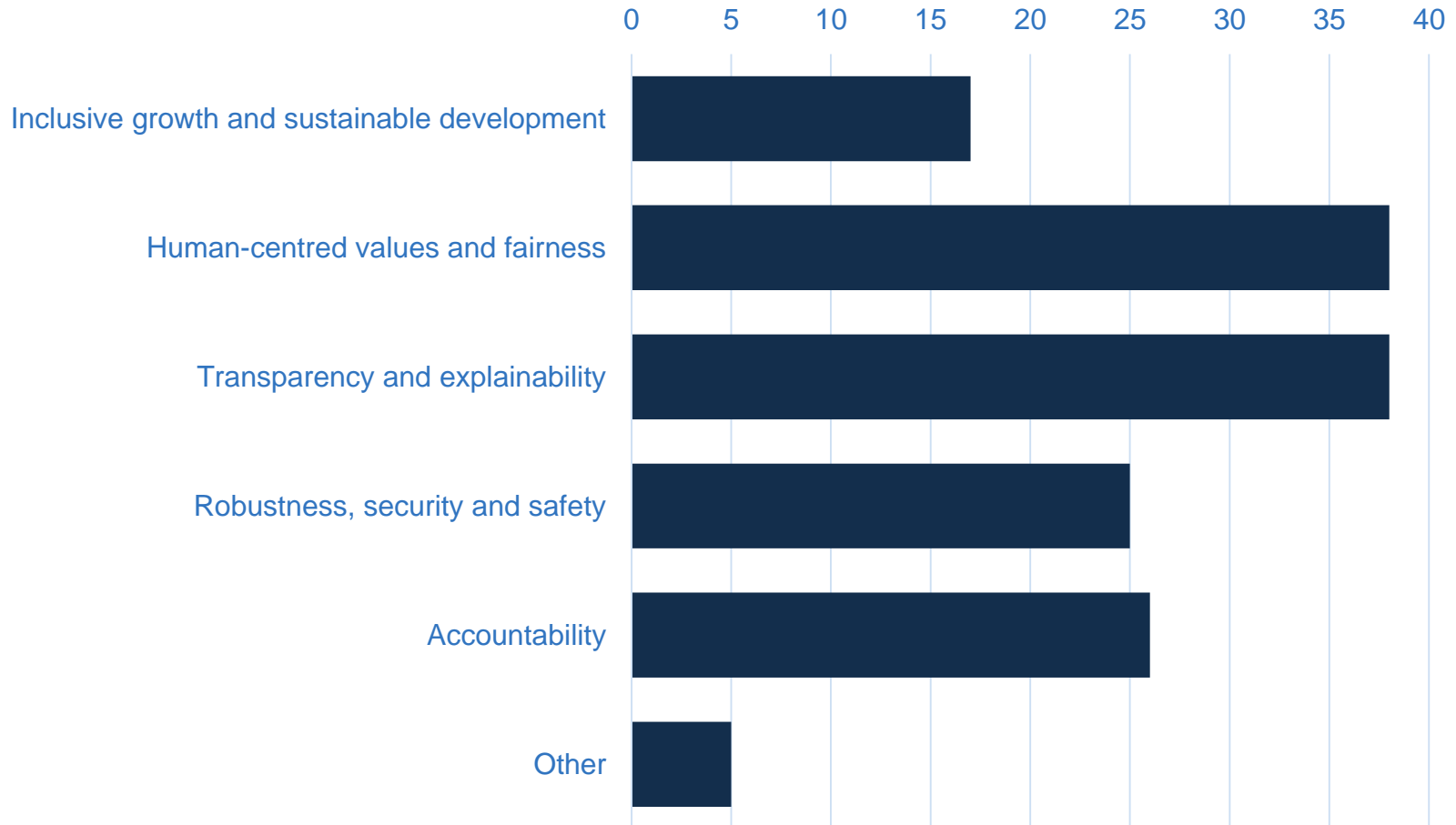


Barry O'Brien, Government and Regulatory Affairs Executive, IBM

<https://oecd.ai/survey>









1

Group similar tools/frameworks

Group 1

(37 use cases)

- Codes of conduct
- Risk management frameworks
- Corporate governance

Group 2

(33 use cases)

- Software tools
- Technical research
- Technical tools

Group 3

(21 use cases)

- Capacity building
- Awareness building

2

Criteria for analysis

Rank most relevant (by AI Principle)

Find common strengths

Find common weaknesses

Find gaps

Provide ideas for assessment

Assess possible international co-operation

Goal: Identify good practices for implementing the 5 recommendations to policy-makers contained in the AI Principles:

1. Investing in AI R&D
2. Fostering a digital ecosystem for AI
3. Shaping an enabling policy environment for AI
4. Building human capacity and preparing for labour market transformation
5. International co-operation for trustworthy AI

Deliverable: A practical guidance report containing a set of good practices to implement these 5 recommendations to policy makers

Co-moderators:



Andras Hlacs, Counsellor, Permanent Delegation of Hungary to OECD



Mike Selitto, Deputy Director, Stanford Institute for Human-Centered AI (HAI)



A. Policy design

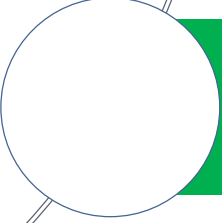
governance, processes used to formulate national AI strategies and policies



B. Policy implementation of the 5 recommendations

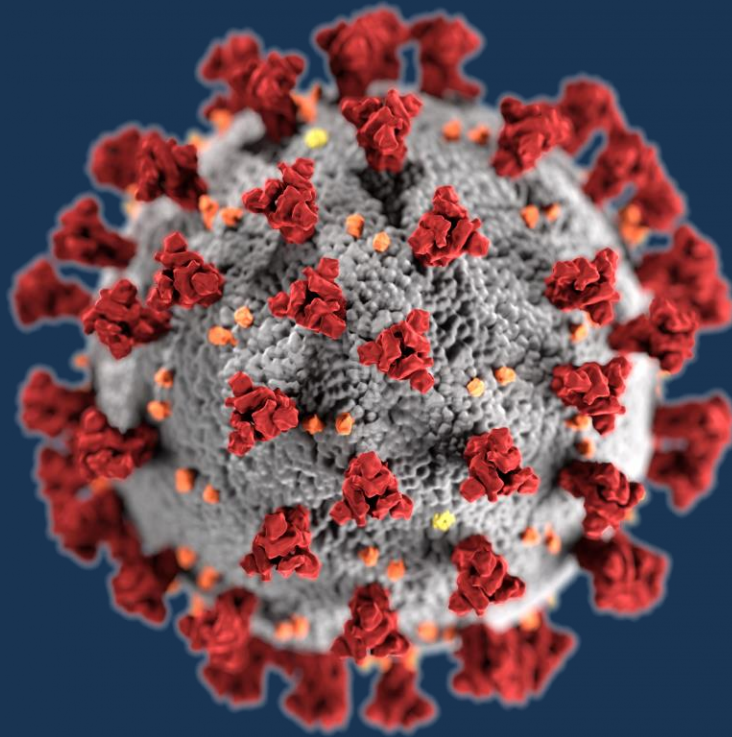


C. Policy Intelligence to monitor implementation



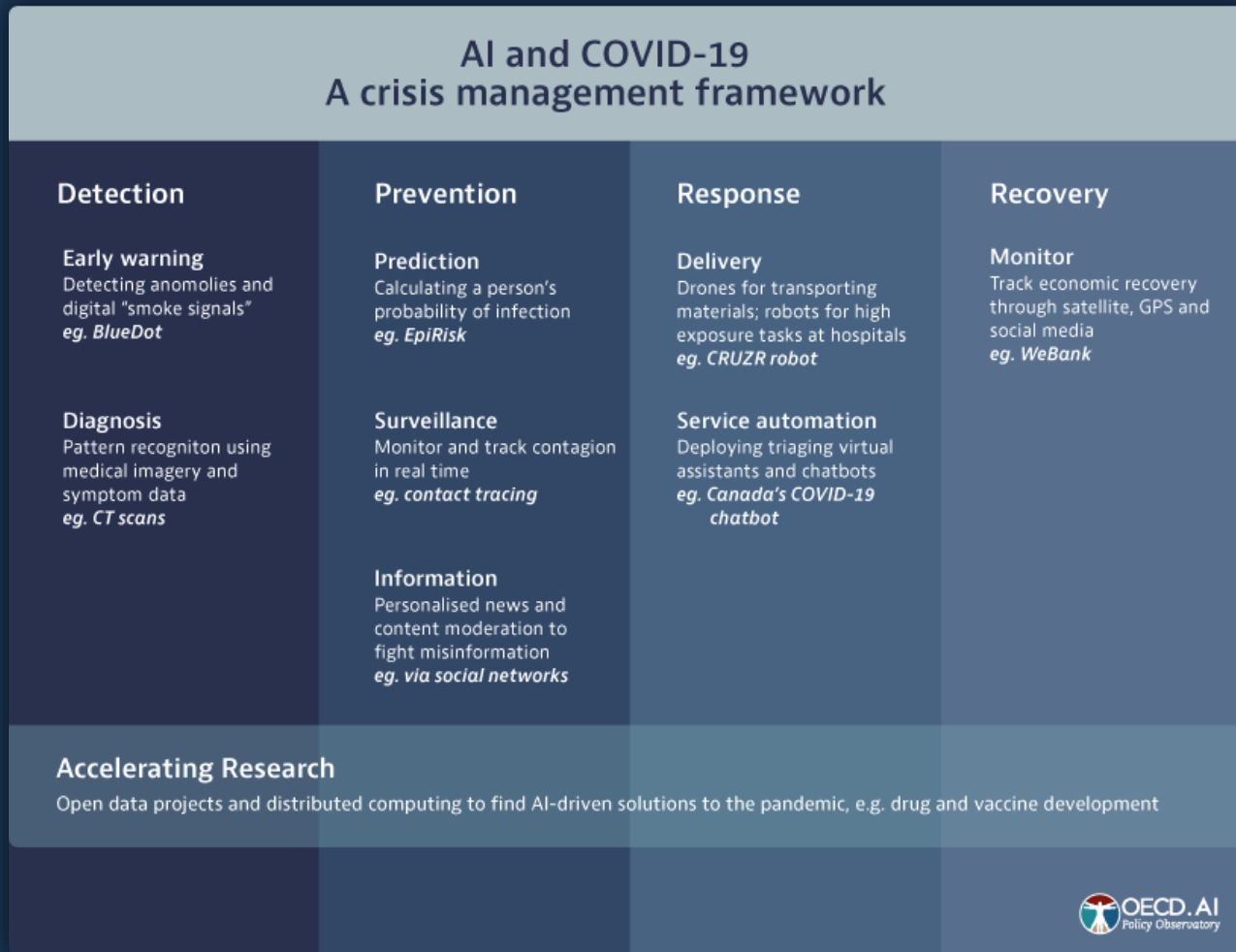
D. International multi-stakeholder co-operation good practices by other IGOs

COVID-19 Resources



COVID-19 Resources

“Using artificial intelligence to help combat COVID-19”
Policy brief



English

Portuguese

Spanish

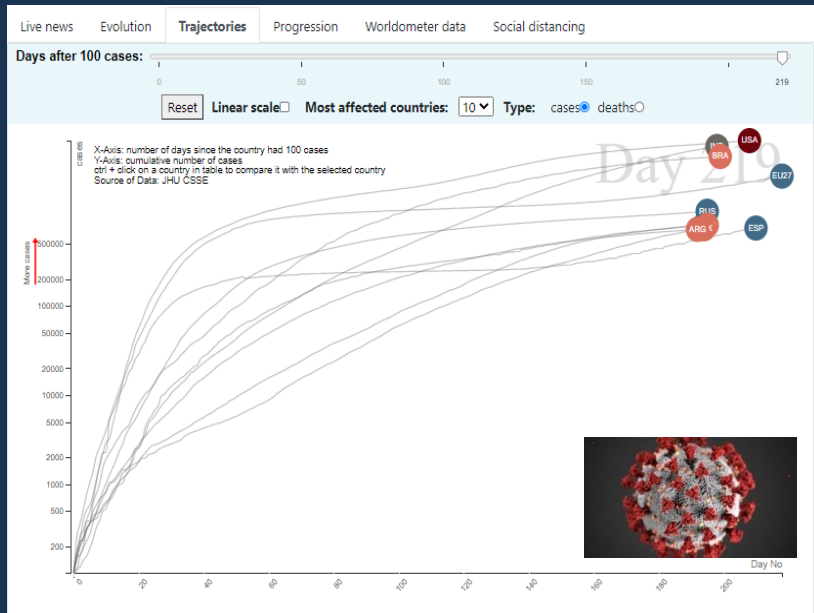
French



COVID-19 Resources

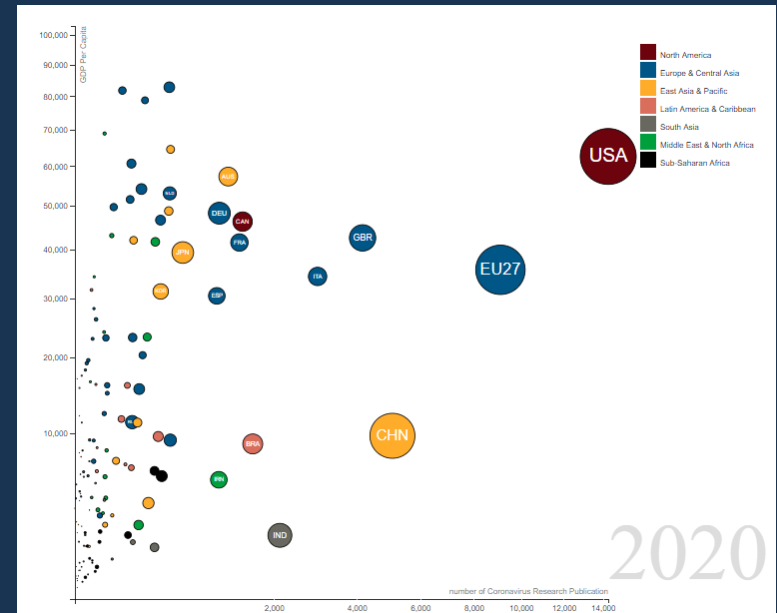
AI-powered COVID-19 tracker

Follow the latest COVID-19 developments in your country in real time



COVID-19 research

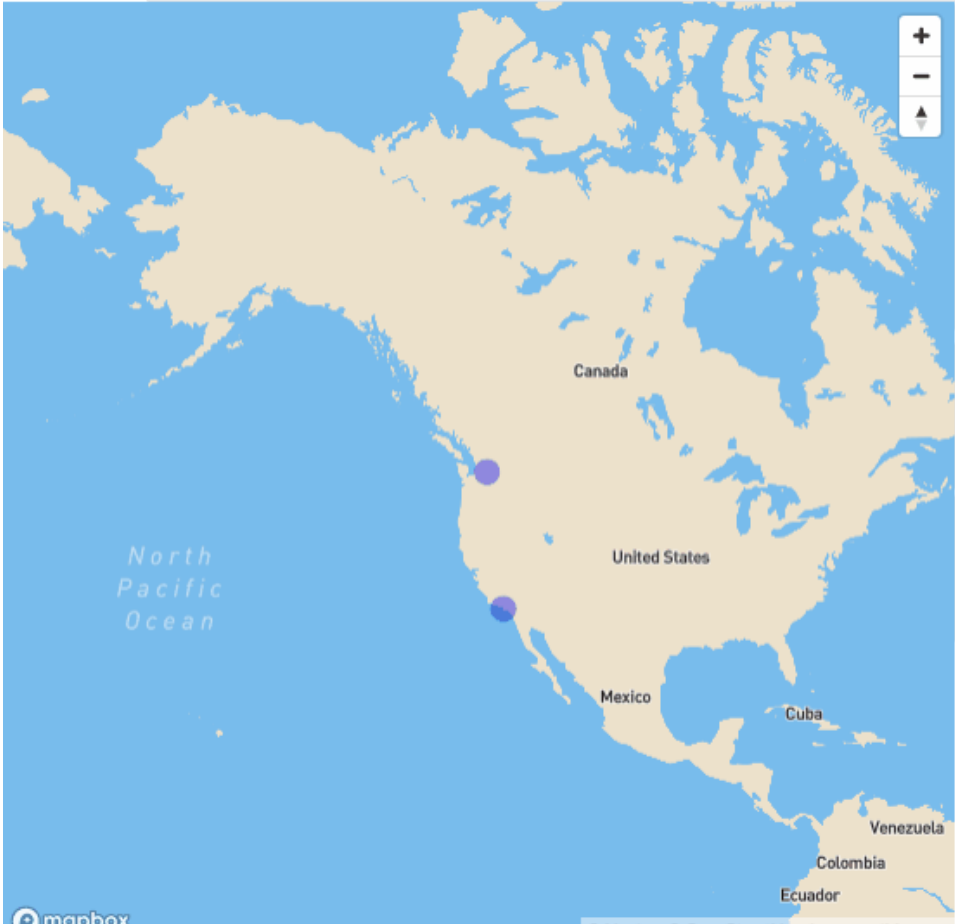
Live data on COVID-19-related publications (MAG)



AI-powered COVID-19 watch

Stay safe, stay informed: follow the latest COVID-19 developments in your country in real time with this AI-powered tool (click on your country's name to zoom in).

[Live news](#) [Evolution](#) [Trajectories](#) [Progression](#) [Worldometer data](#) [Social distancing](#)



Tue Sep 29 2020, 10:51:00 PM

To UN, Israeli Prime Minister says 'no doubt' more Arab countries will soon...

While telling the UN General Assembly on Tuesday there was "good news" to report about the "expanding circle of peace" between Israel and some Arab countries, Prime Minister Benjamin Netanyahu also accused Hezbollah militants of storing weapons in a residential area of Beirut. Opening his video address, pre-recorded due to the coronavirus pandemic, on a positive note, Mr. Netanyahu said that while the Middle...

Washington Examiner

New York voters receive absentee ballots with...

Tue Sep 29 2020, 10:51:00 PM

Yahoo Sports


Commissioner Goodell sends COVID-19 message to all N...

Tue Sep 29 2020, 10:51:00 PM

AI-powered COVID-19 watch

Stay safe, stay informed: follow the latest COVID-19 developments in your country in real time with this AI-powered tool (click on your country's name to zoom in).

Live news Evolution Trajectories Progression Worldometer data Social distancing



Tue Sep 29 2020, 11:03:00 PM

Cerca de 111 mil alunos do ensino fundamental voltam às aulas em Mana...

Estudantes dos anos iniciais da rede estadual de ensino deverão seguir protocolos de segurança contra Covid-19. Estado vive aumento de casos e internações por Covid-19. Alunos terão que passar por rotina de cuidados contra Covid-19. -- Foto: Michell Melo/Secom

Mais de 111 mil alunos do ensino fundamental da rede estadual de ensino voltam às aulas em Manaus, nesta quarta-feira (30). O governo informou que os

globo.com

Tue Sep 29 2020, 11:03:00 PM

Cerca de 111 mil alunos do ensino fundamental volta...

Terra

Por que a medicina alemã está reaprendendo a faze...

Tue Sep 29 2020, 11:03:00 PM

Época

Por que a medicina alemã está reaprendendo a faze...

Tue Sep 29 2020, 11:04:00 PM

Tribuna do Paraná

Curitiba divulga 14 novas mortes e mais 331...

Tue Sep 29 2020, 11:05:00 PM

AI-powered COVID-19 watch

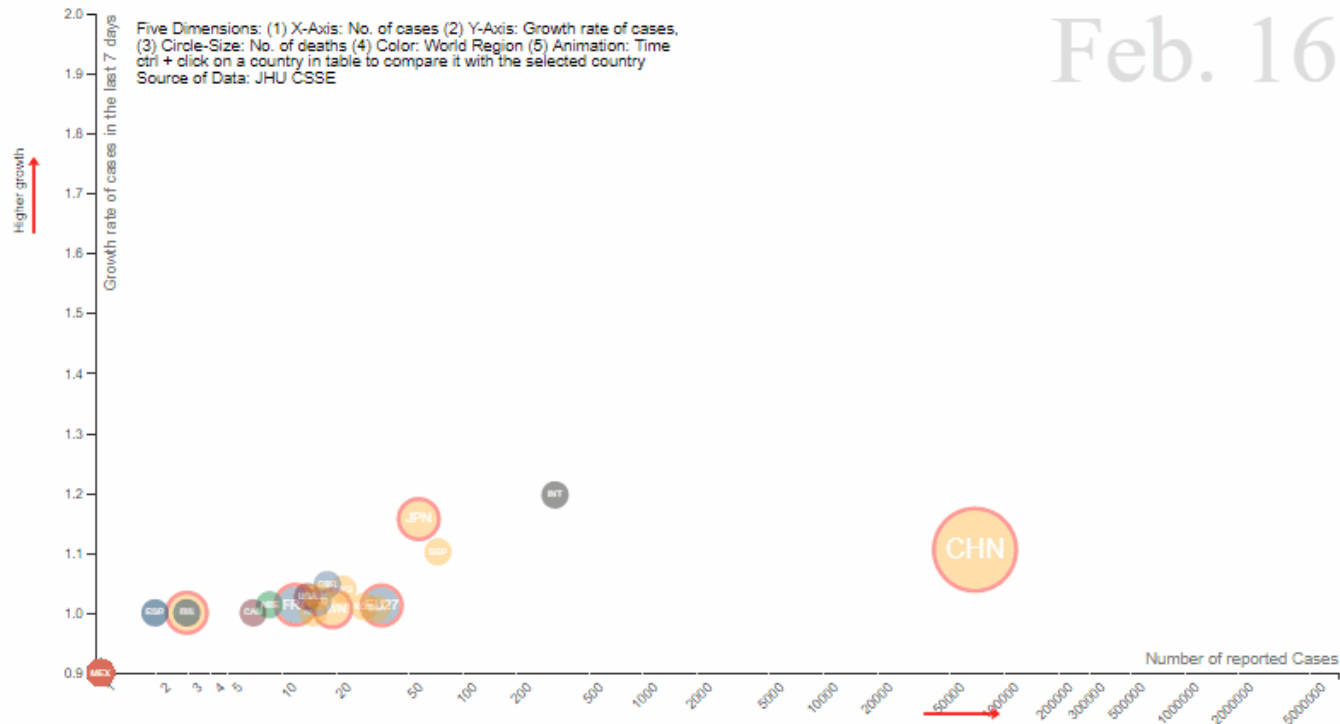
Stay safe, stay informed: follow the latest COVID-19 developments in your country in real time with this AI-powered tool (click on your country's name to zoom in).

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[Social distancing](#)

Date:

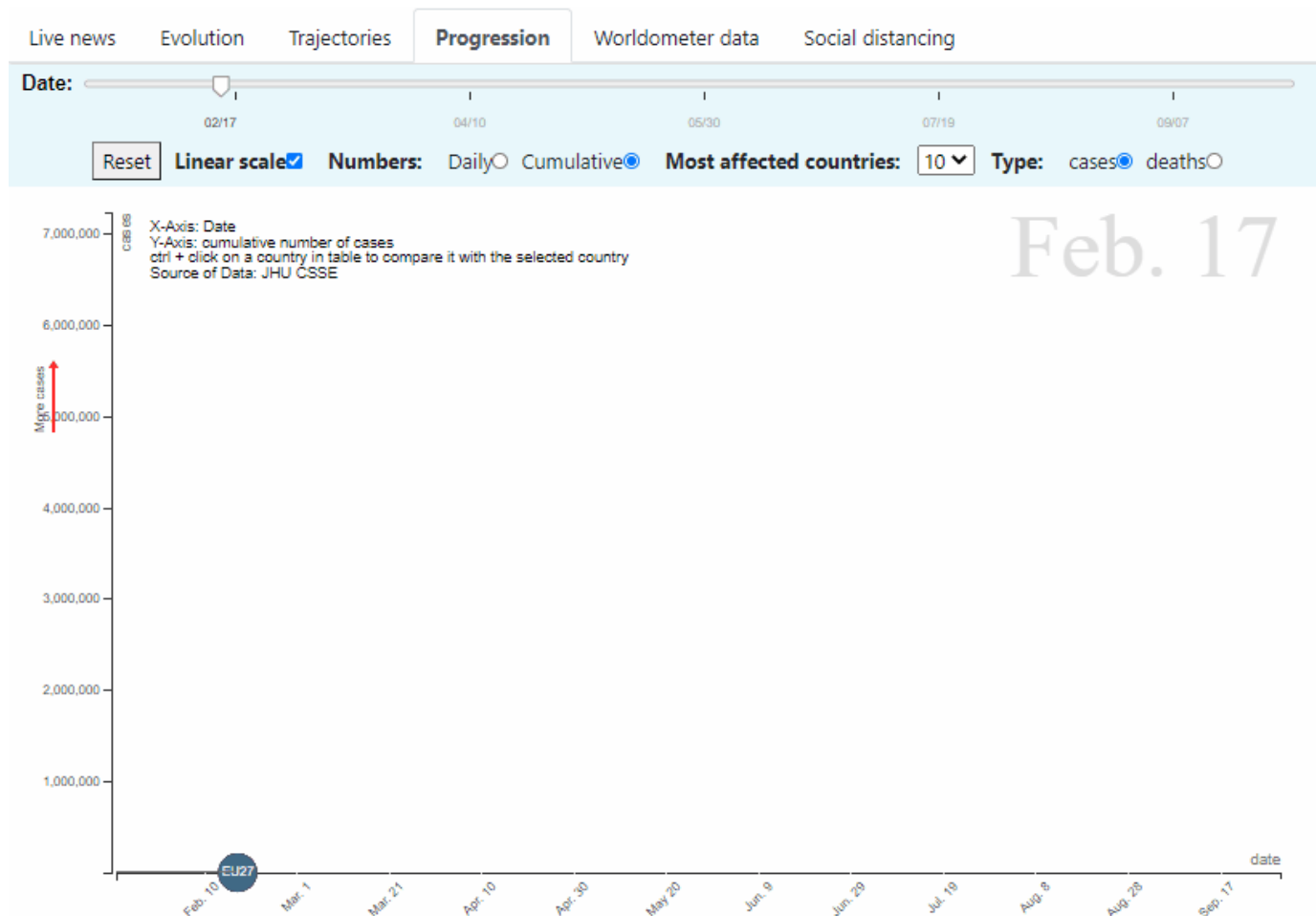
Show all countries
 Cases per capita
 Deaths per capita
 Linear scale

Switch deaths / cases



AI-powered COVID-19 watch

Stay safe, stay informed: follow the latest COVID-19 developments in your country in real time with this AI-powered tool (click on your country's name to zoom in).



AI-powered COVID-19 watch

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Home > Data from partners

Live data from partners

This section leverages live data from partners to show timely trends about where, how and at what rate AI is being developed and used, and in which sectors.

AI news

AI research

AI jobs and skills

COVID-19 research



2001

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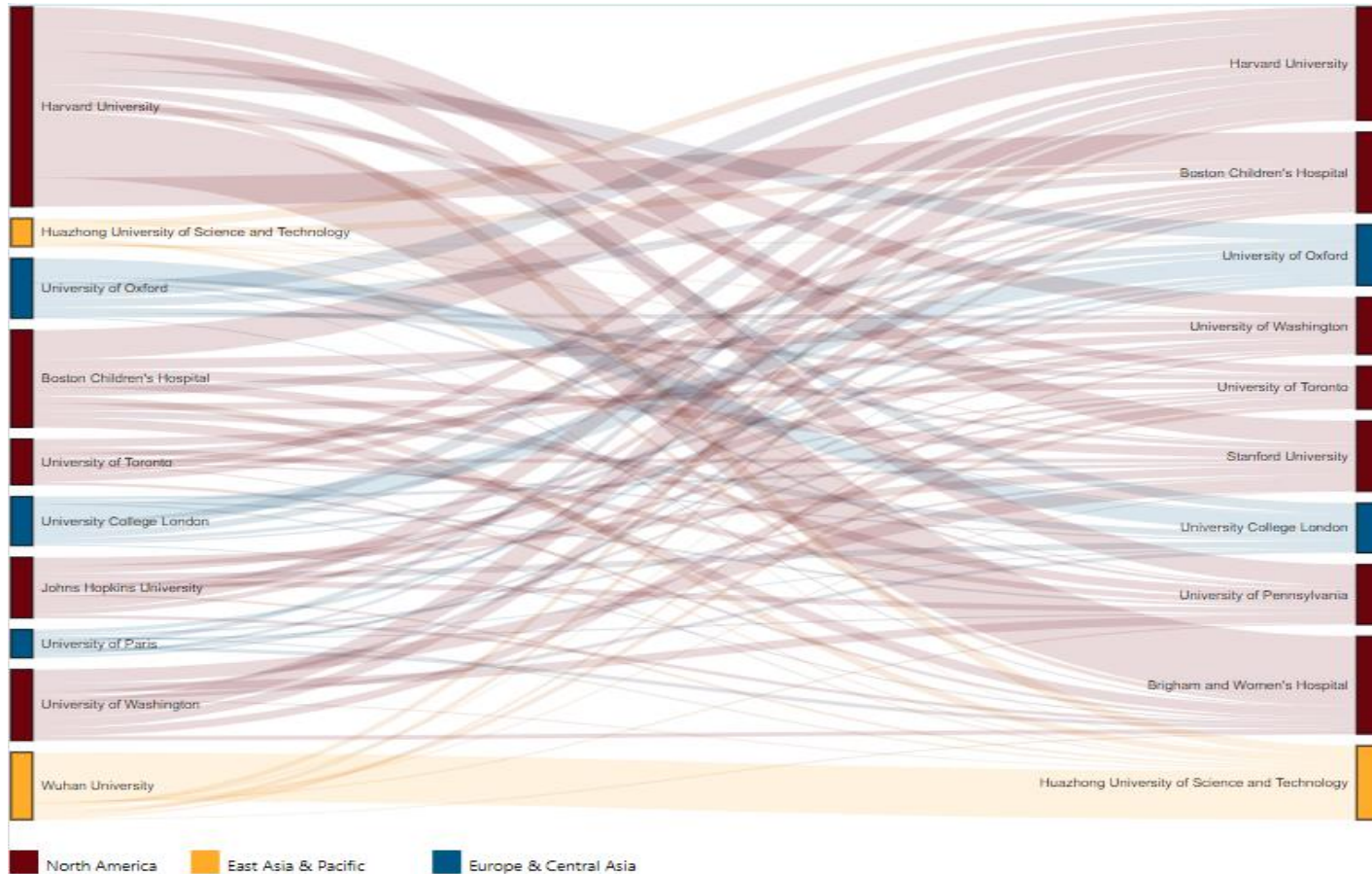
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Live data from partners

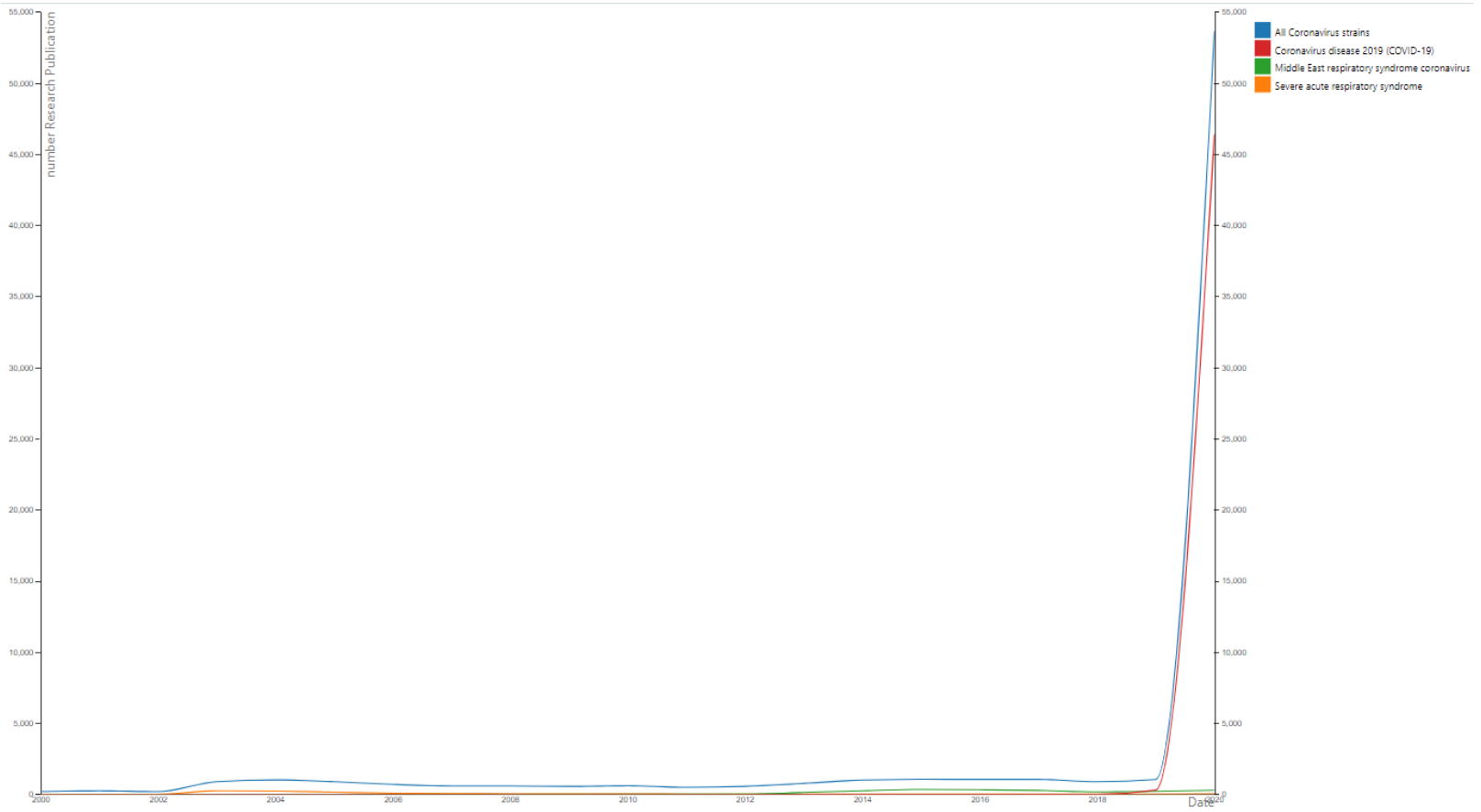
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The AI Wonk

Not all intelligence is artificial. Keep yours real with the AI Wonk blog.



Intergovernmental

Artificial intelligence in health still needs human intelligence

COVID-19 has shown that AI can deliver benefits, but it has also exposed its limits, often related to having the right data.



Government
Singapore's model framework balances innovation and trust in AI

To sustain a trusted AI ecosystem, Singapore has to be proactive about providing guidance for AI and responding to industry realities.

June 24, 2020 — 5 min read



Business
Can AI combat cognitive capacity issues and information overload?

Cybersecurity, viral pandemics and climate change make fostering international co-operation and access to knowledge more urgent than ever.

June 15, 2020 — 3 min read



An introduction to the Global Partnership on AI's work on Responsible AI

The Global Partnership on AI (GPAI) has a mission to "support the development and use of AI based on human rights, inclusion, diversity, innovation, and economic growth, while seeking to address the U...

September 1, 2020 — 6 min read



Technical community

Three habits to cultivate when converting ethical AI principles into ethical AI practice

May 11, 2020 — 4 min read



Intergovernmental

Harnessing Artificial Intelligence for Development

July 29, 2020 — 4 min read



Intergovernmental

The IDB is bringing responsible and ethical AI to Latin America and the Caribbean

June 3, 2020 — 4 min read



An introduction to the Global Partnership on AI's work on data governance

Jeni Tennison, Co-Chair of GPAI's Working Group on Data Governance, introduces her working group and its mission.

August 23, 2020 — 6 min read



Intergovernmental
Harnessing Artificial Intelligence for Development

Governments can help balance opportunities and risks linked to AI.

July 29, 2020 — 4 min read

THANK YOU

Visit the **OECD AI Policy Observatory ([OECD.AI](https://oecd.ai))**
for more, including:

- [Overview of the OECD AI Principles](#)
- [Latest research in AI policy areas](#)
- [AI-powered COVID-19 watch](#)
- [Trends and data](#)
- [Interactive database of country's AI initiatives](#)
- [The AI Wonk blog](#)